

# Ensemble Streamflow Forecasting with the Coupled GFS-Noah Modeling System

*Dingchen Hou\*, Kenneth Mitchell, Zoltan Toth,  
Dag Lohmann\*\* and Helin Wei\**

Environmental Modeling Center/NCEP/NOAA, USA  
5200 Auth Road Camp Springs, MD 20746

\* EMC/NCEP/NOAA and SAIC

\*\* Risk Management Solution Ltd. UK

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*Dongjun Seo, Pedro Restrepo and John Schaake, OHD/NOAA  
George Gayno, Yuejian Zhu, Jesse Meng, Bo Cui and Youlong Xia,  
EMC/NCEP/NOAA*

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# OUTLINE

- **Introduction**
- **Configuration and Experimental Design**
- **Case Studies**
- **Statistical Evaluation of the Results**
  - Temporal Correlation
  - Continuous Ranked Probability Score (CRPS)
- **Conclusions and Discussions**

# Introduction

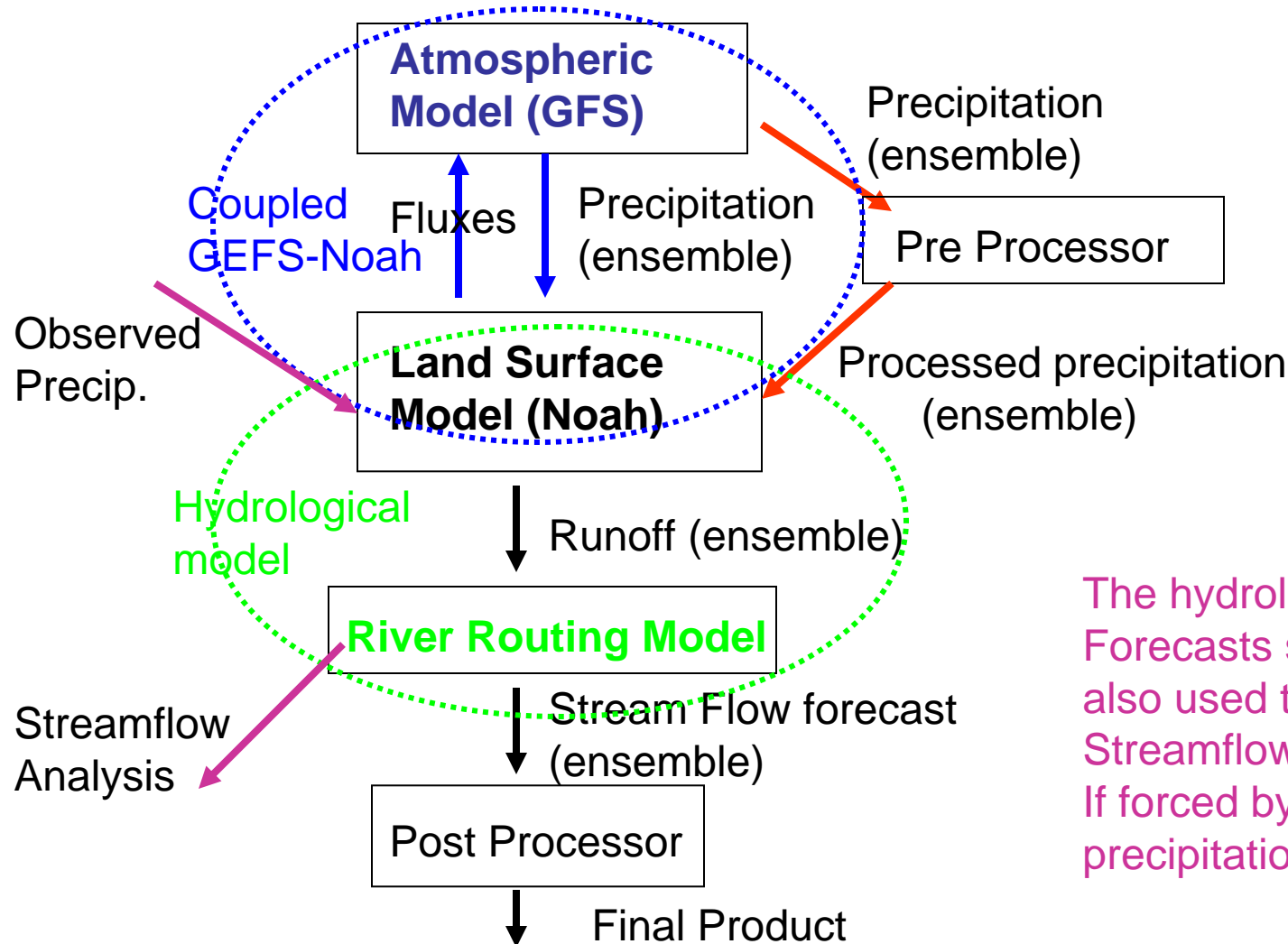
## Ensemble Streamflow Forecast: Two Possible Approaches

### A) (Proposed approach)

Use the NWP precip. Forecast  
Retain the Ensemble members  
Retain as much precip. info as possible

### B) (Traditional approach)

Pre-processing of NWP precip. forecast  
Regenerate ensemble members  
Retain less precip. forecast info.



# Introduction: Purpose and Strategy

## Purpose:

- Demonstrate feasibility of **gridded** river flow forecast in operational ensemble forecast systems (e.g. GEFS).
- Test the quality of the forcing to the hydrological model from the coupled GFS-Noah ensemble forecasting system and identify simple online procedure to improve it.
- Establish suitable configuration for the air-land-river coupled system which can be used with any river routing model.
- Develop suitable strategy to account for uncertainties.
- Test suitable methods for calibrating the products.

## General Strategy:

- Focusing on **natural (uncontrolled) flow** forecast to support water management decisions (e.g., Georgakakos et al, 2006);
- Using NLDAS streamflow simulations as **analysis**, which is from estimated real precipitation and matches the observations well;
- Keeping **global domain** in mind with domestic and international users, while CONUS domain being used in this study.
- Developing river flow forecast capacity as a component of the **ESMF system**;
- Generating **hind cast** data set for post processing.

# Configuration of the River Routing Model

## Configuration of the River Routing Model

- **River Routing Model:** linear program, distributed approach, same as used in NLDAS (Lohmann et al., 1998, 2004).
- **CONUS domain,** 1/8 degree grid size (same as NLDAS).
- **River Flow Direction Mask:** A D8 model, river stream in each grid point is discharged to 1 of the eight main directions (Lohmann, et al, 2004).
- **Initial Condition:** NLDAS streamflow analysis.
- **Forcing:** Runoff from global ensemble forecasts (GEFS, control and 10 perturbed members) and the high resolution control forecast (GFS), interpolated to NLDAS grid and 1 hour intervals.
  - Downscaling not considered yet
- **Uncertainty** considered in river routing:
  - in forcing, included partially
  - In hydrological model, ignored but systematic model errors can be corrected via post processing
- **Evaluation:** Using NLDAS streamflow analysis as the verification. Observation may be used in follow up study.
  - Natural flow is compared
  - Uncertainty associated with the meteorological forcing is isolated
  - Consistent with the focus of the present study

# Forecast Example (initiated April 1<sup>st</sup>, 2006) Stream Flow

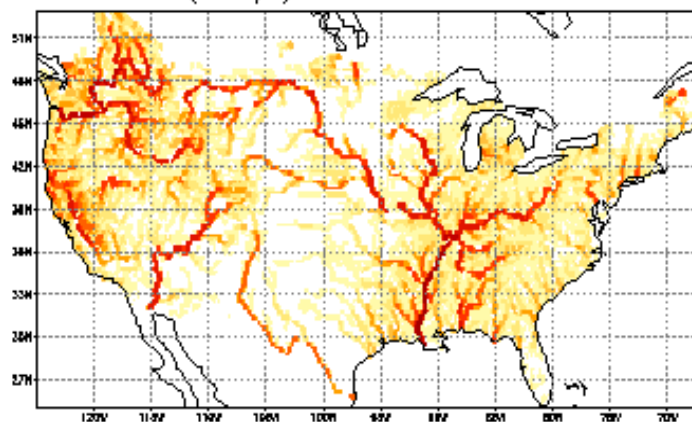
Stream Flow, Analysis and Ensemble Mean Forecast

Error of Ensemble Mean and Ensemble Spread

Forecast Starting at 00Z, April 1<sup>st</sup>, 2006. lead time 12 days

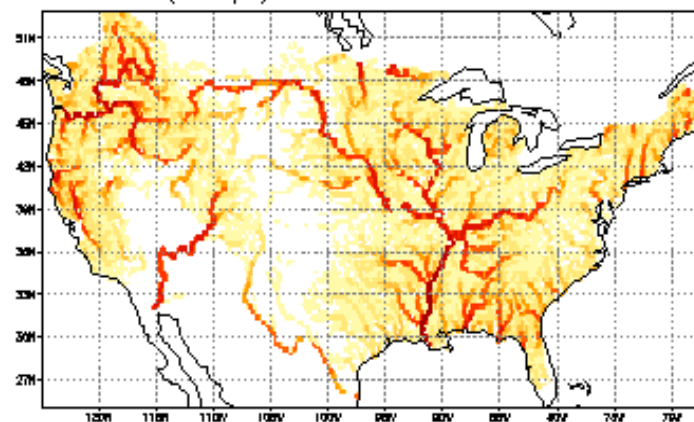
## Analysis (NLDAS)

STREAMFLOW (m<sup>3</sup>/s): NLDAS 288hr fcast from 20060401



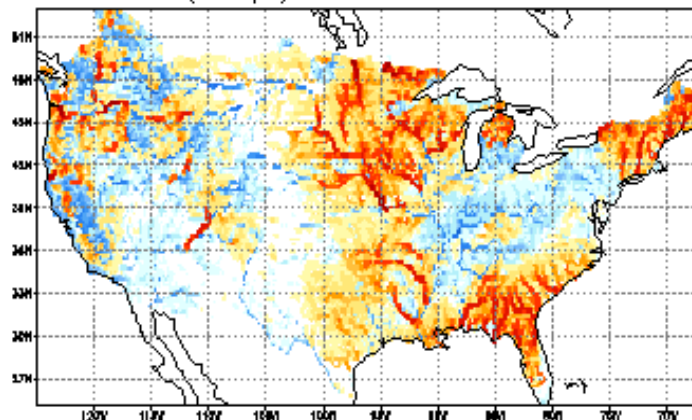
## Ensemble Mean

STREAMFLOW (m<sup>3</sup>/s): ENS. MEAN 288hr fcast from 20060401



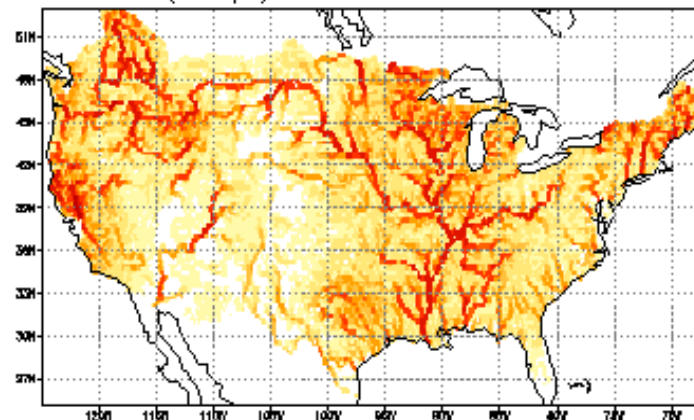
## Error=Ens. Mean - analysis

STREAMFLOW (m<sup>3</sup>/s): ERROR 288hr fcast from 20060401



## Ensemble Spread

STREAMFLOW (m<sup>3</sup>/s): ENS. SPRD 288hr fcast from 20060401



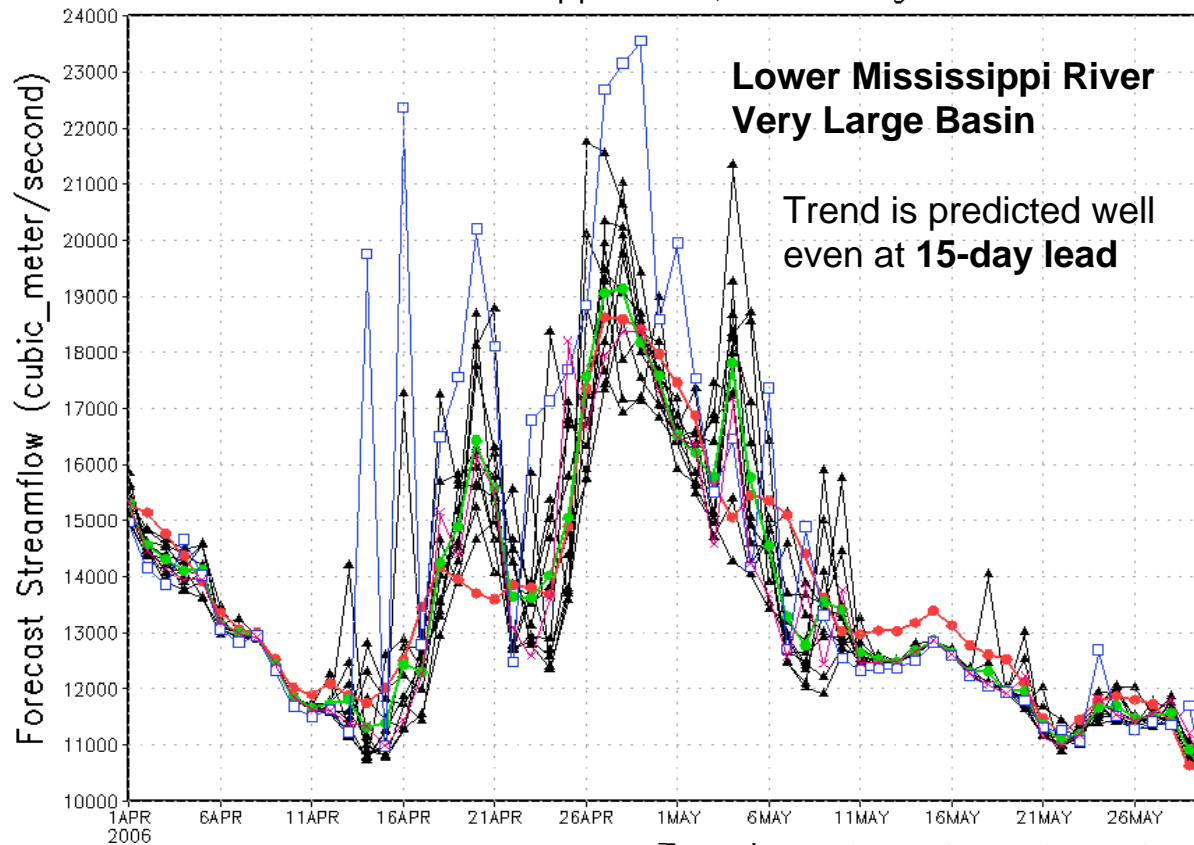
Ensemble mean  
is similar to the  
Analysis;

Geographic  
distribution of  
positive and  
negative errors.

Note the scales  
for error and  
spread is 1/10  
of that for  
analysis and  
Ensemble mean.

# Time Series of Forecasts and Analysis

STREAMFLOW (m<sup>3</sup>/s) ens. fcast (360hr)  
for Mississippi River, Vicksburg MS



**Lower Mississippi River  
Very Large Basin**

Trend is predicted well  
even at **15-day lead**

**Positive Correlation  
between Forecasts and  
Analysis for all  
Lead times**

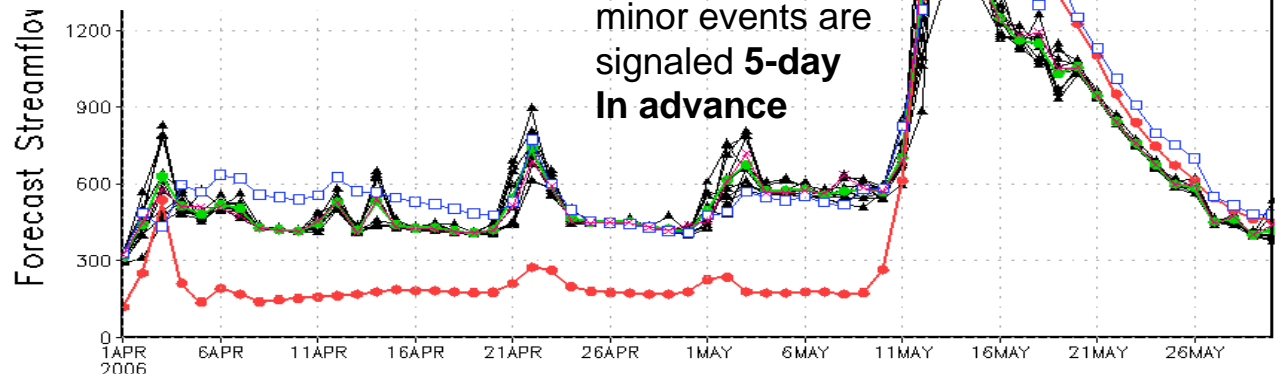
\*\*3/s) ens. fcast (120hr)  
-Concord River, Lowell MA

**Merrimack-  
Concord River,  
Lowell, MA  
Medium Basin**

May 2006

New England

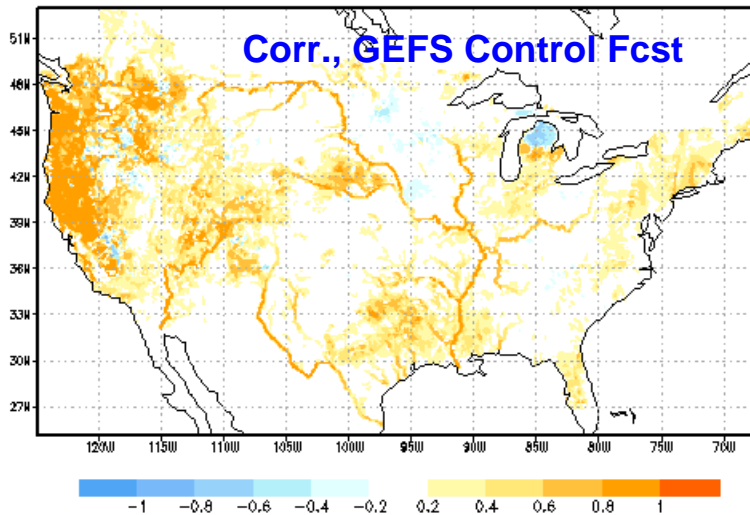
Flood is correctly  
predicted and some  
minor events are  
signaled **5-day  
In advance**



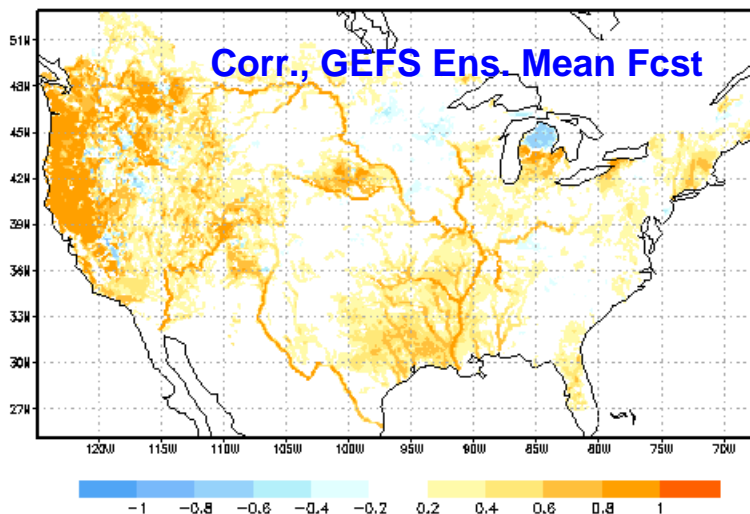
# Temporal Correlation

## Between Forecasts and Analysis

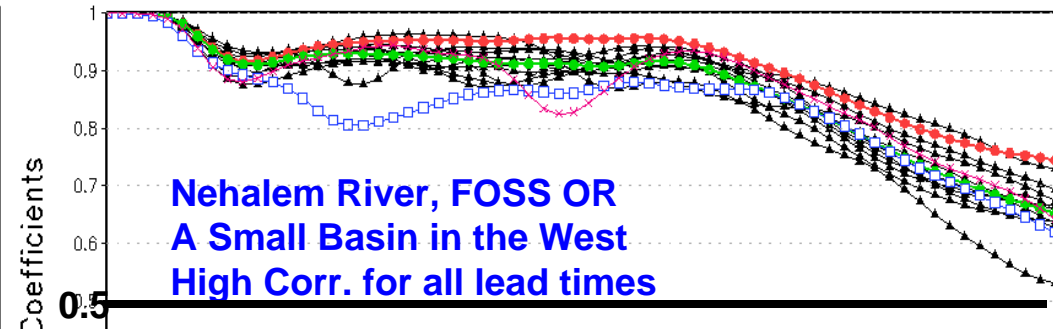
STREAMFLOW Temp. Correlation:  
ENS CTRL 240hr fcast for 200604-05



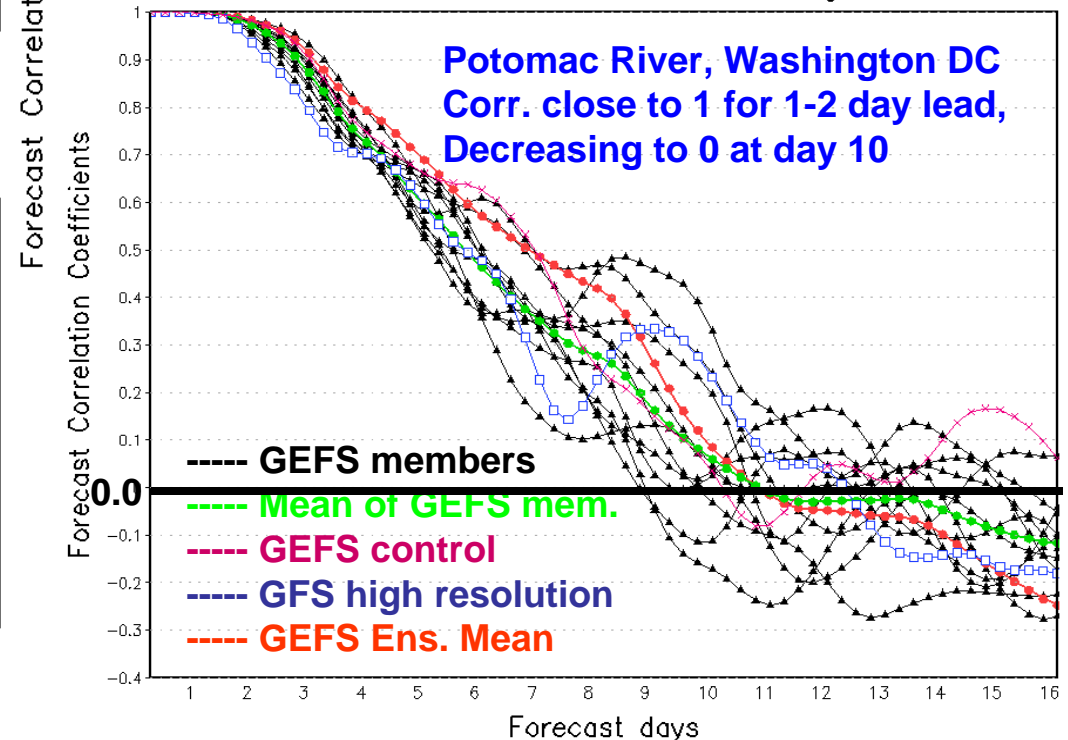
STREAMFLOW Temp. Correlation:  
ENS Mean 240hr fcast for 200604-05



STREAMFLOW temp. Correlation 200604-05  
Ens. Fcst for Nehalem River, Foss OR



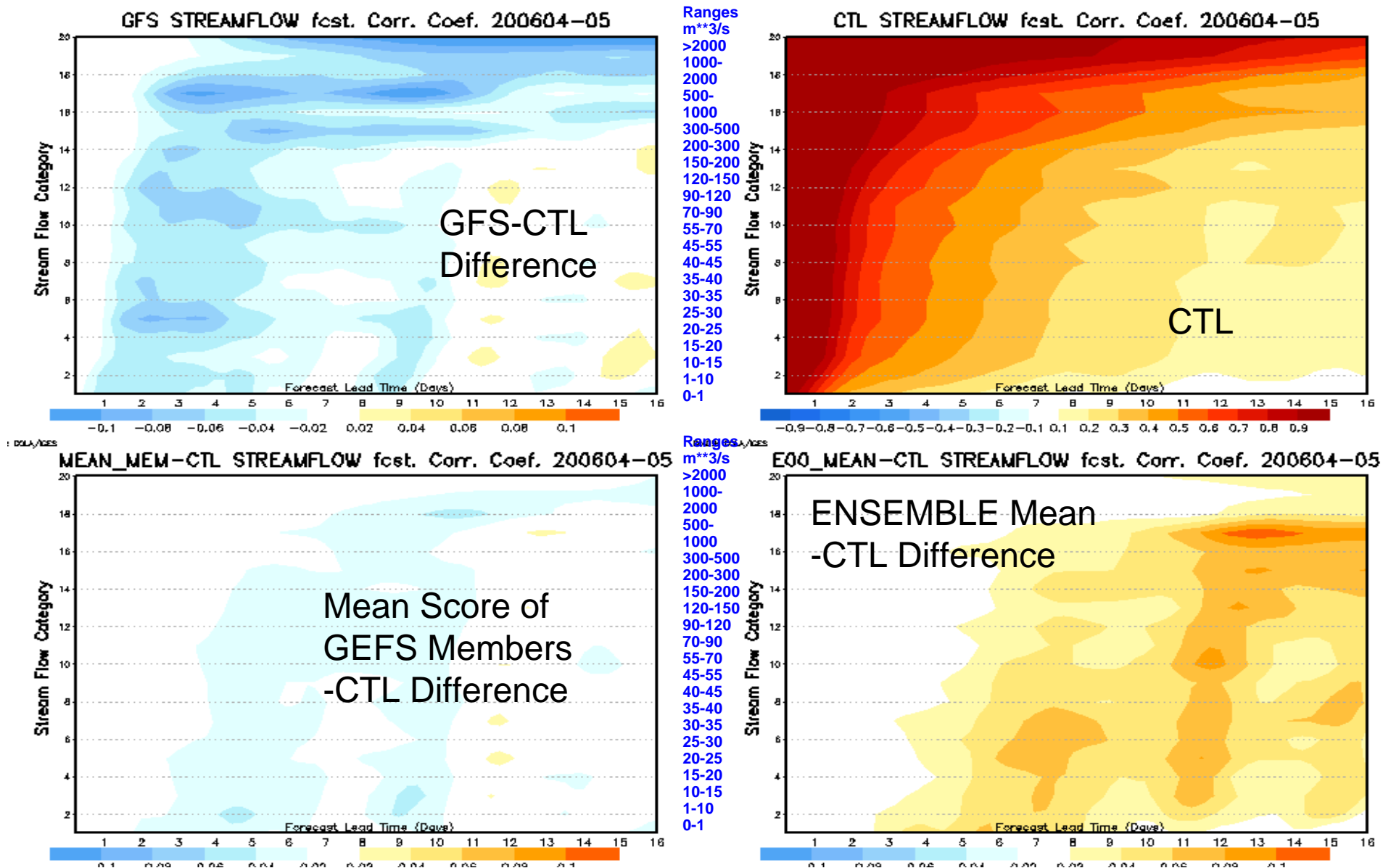
STREAMFLOW temp. Correlation 200604-05  
Ens. Fcst for Potomac River, Washington DC



# Correlation Coefficient as Function of Lead Time and Mean Flow

The high resolution GFS forecast has lower correlation, especially for day 2-5 over small basins and for week 2 forecast over largest basins.

Major Improvement due to ensemble approach.

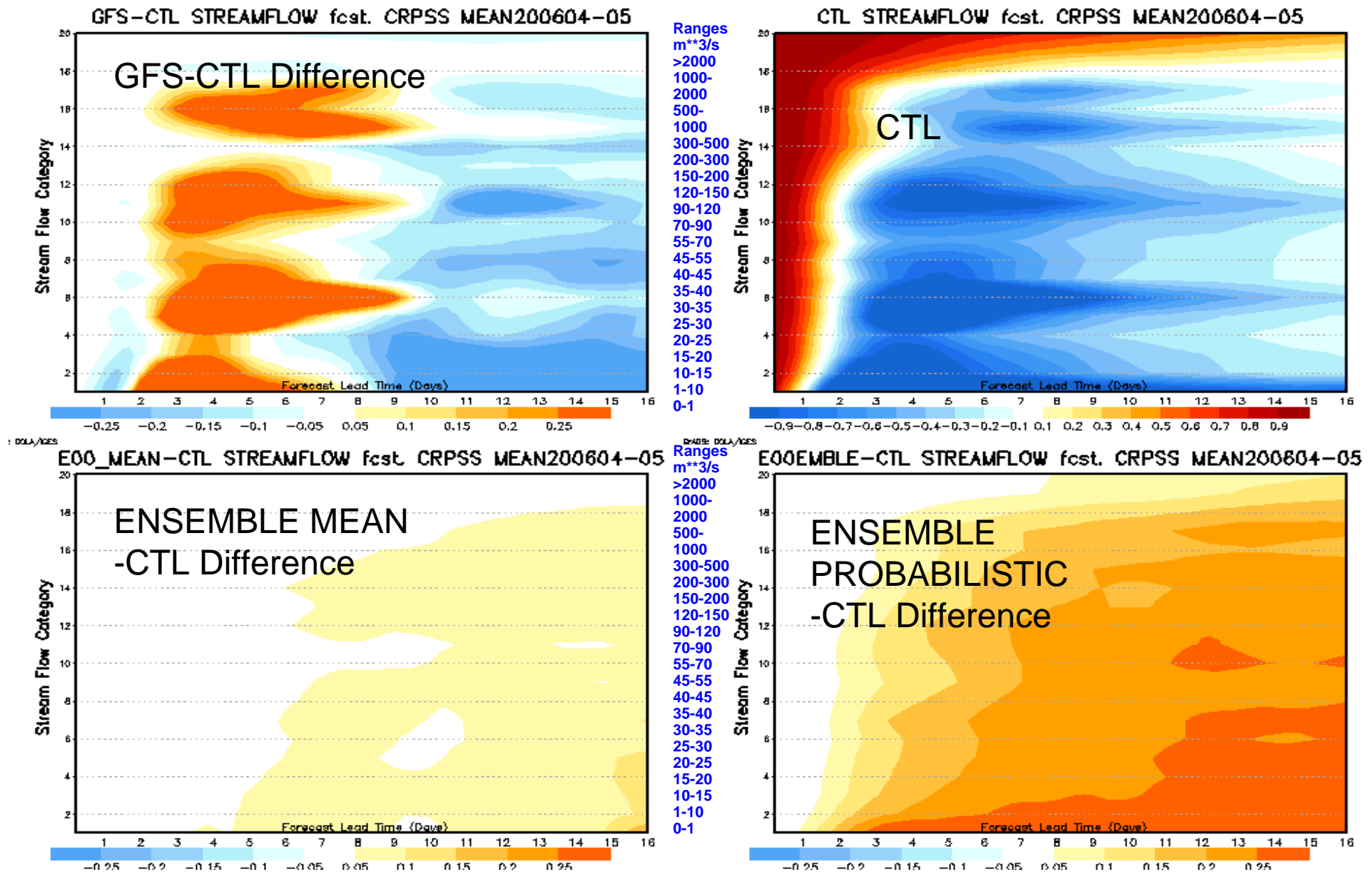


# CRPSS

- **Continuous Ranked Probability Score (CRPS)**
  - The integral of the Brier scores at all possible threshold values for a continuous predictand (Hersbach 2000; Toth et al. 2003)
  - Averaged over the test data
  - Reduces to **Mean Absolute Error (MAE)** for a single value (deterministic) forecast.
- **CRPS** is calculated for
  - GFS high resolution (**single**) forecast
  - GEFS control (**single**) forecast
  - GEFS 10-member mean (**deterministic-style**) forecast
  - **Probabilistic forecast** based on GEFS 10 member ensemble
- **Continuous Ranked Probability Skill Score  $CRPSS=1-CRPS/CRPS_{ref}$** 
  - Reference forecast: persistent forecast (forecast=initial)
    - Not the best choice. Generating forecast without precip. Forcing is an alternative
  - CRPSS is less or equal to 1.0
  - $\leq 0$ , no skill compared with reference forecast
  - $> 0$ , some skill over the reference forecast

# CRPSS as a Function of Lead Time and Mean Flow, Raw Forecasts

Slight Improvement due to ensemble approach  
Major Improvement due to probabilistic forecast  
High resolution GFS is superior for 2-8 day lead

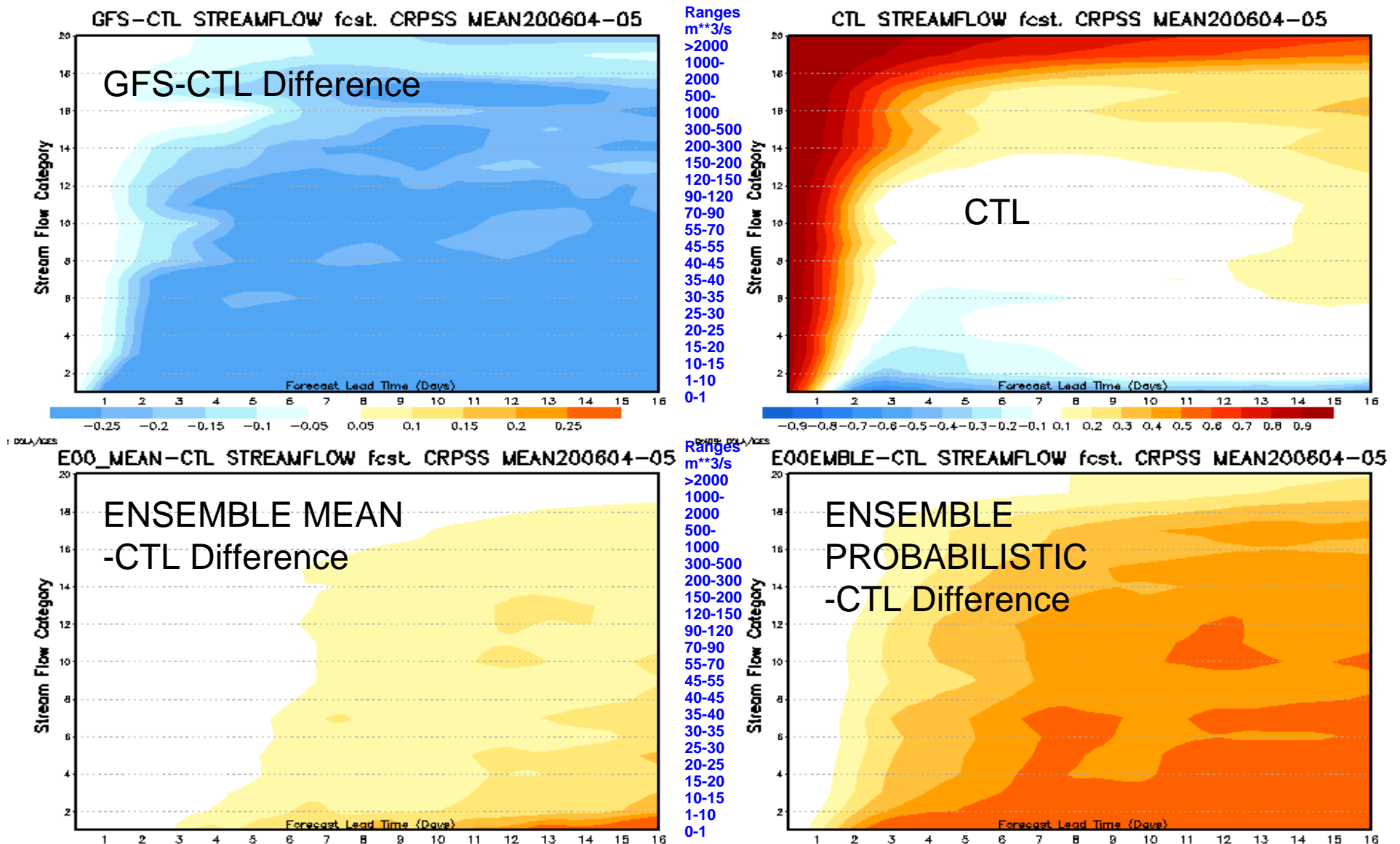


# CRPSS as Function of Lead Time and Mean Flow, After Bias-reduction

(Using dependent training data set, not a practical bias correction)

Slight/major Improvement due to ensemble approach/probabilistic forecast

High resolution GFS is not as good as the ensemble control

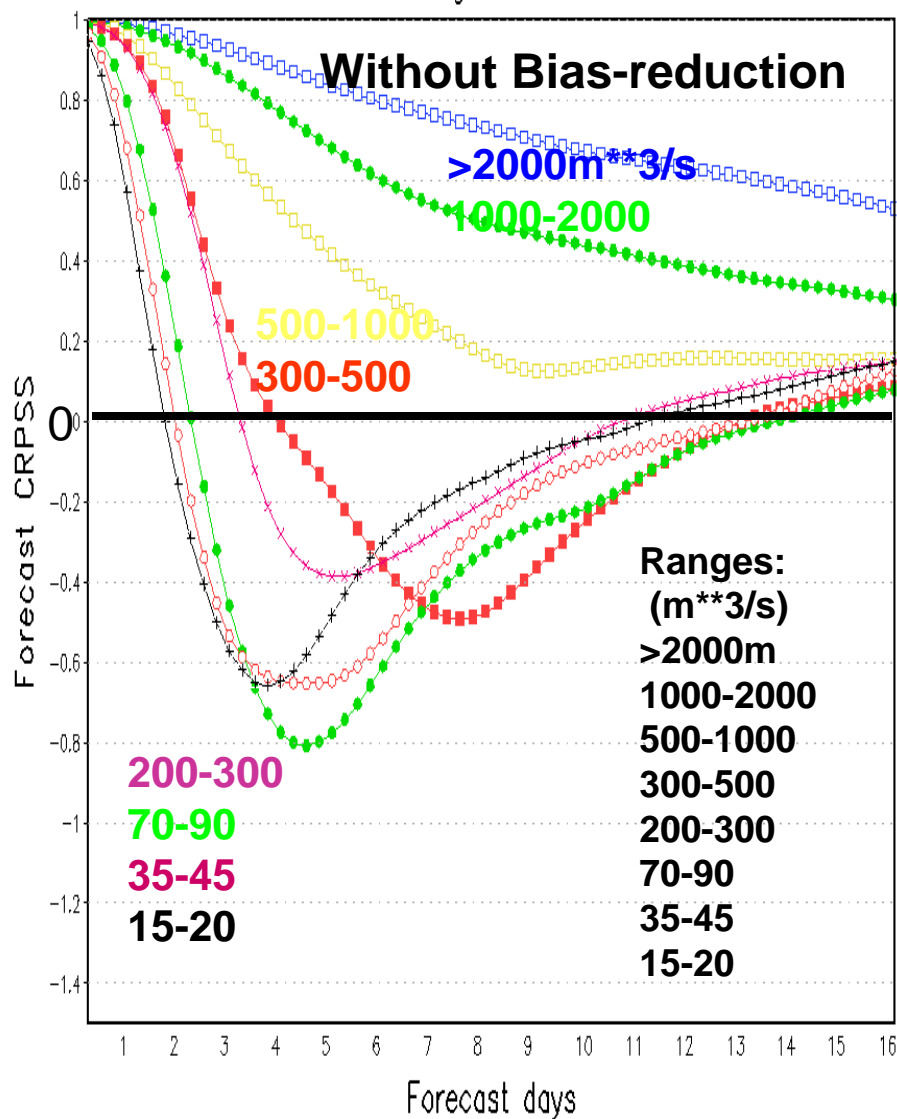


# Effect of Bias Correction

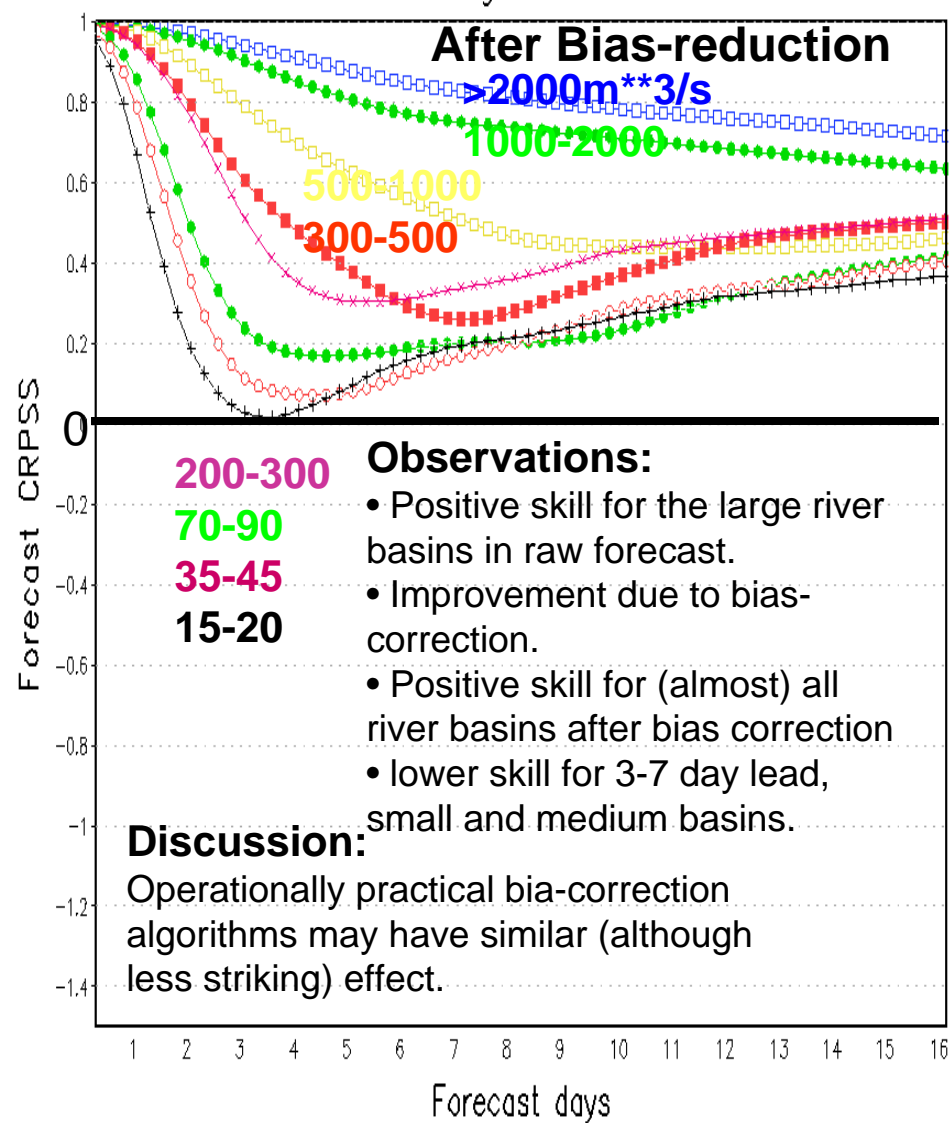
## CRPSS of The Ensemble Based Probabilistic Forecast

(Averaged over selected ranges of mean Stream Flow)

STREAMFLOW fcst. CRPSS MEAN200604-05  
Ens. Fcst for Grid Points Categories 20-19-18-17-16-12-8-



STREAMFLOW fcst. CRPSS MEAN200604-05  
Ens. Fcst for Grid Points Categories 20-19-18-17-16-12-8-4



# CRPSS

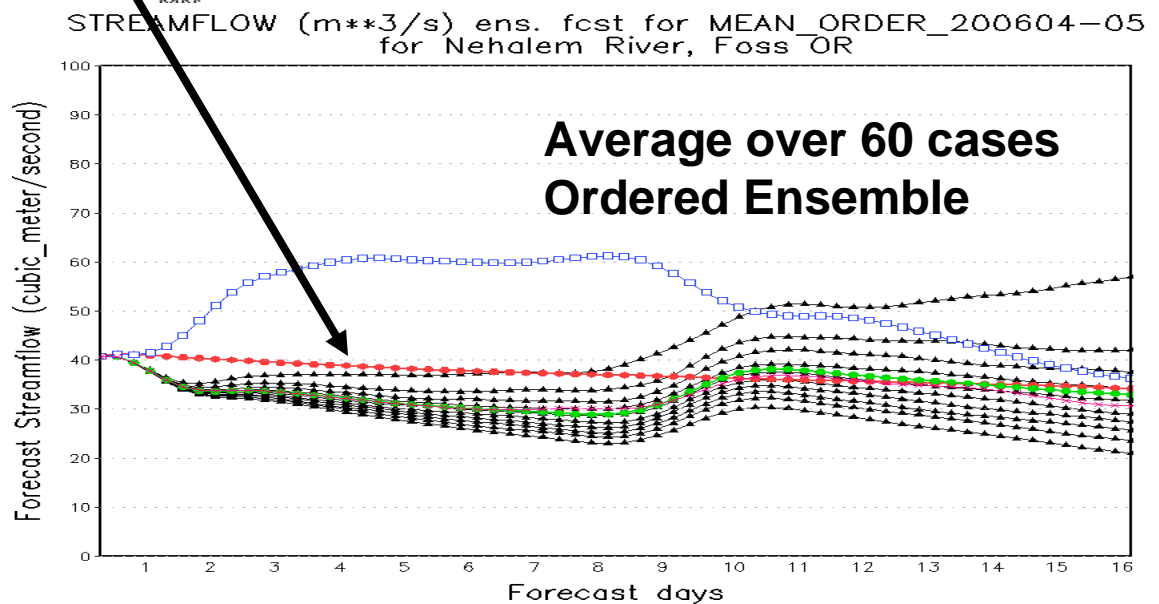
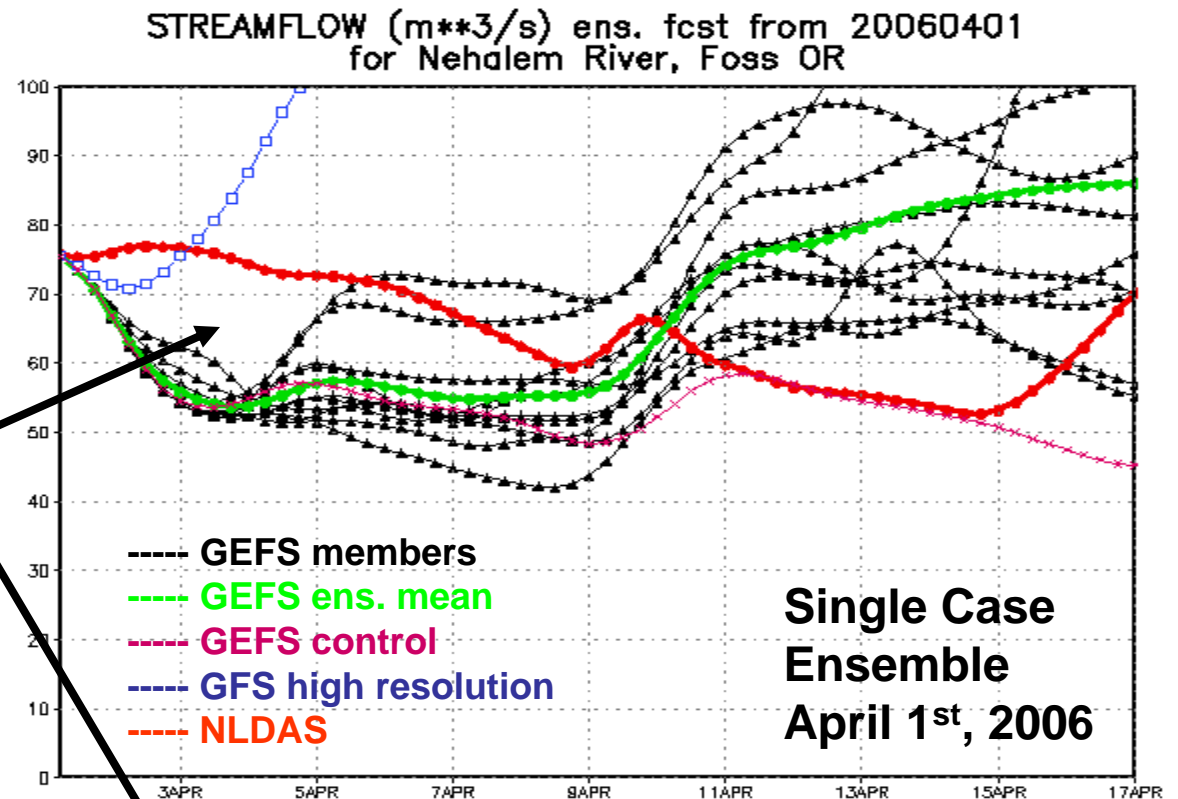
Lack of skill for small and medium basins with 3-7 days lead, even after bias correction

**Possible explanation:**  
**Bias and insufficient spread** in the streamflow forecast due to deficiencies in the forcing (precipitation and/or runoff forecast) generated by the GEFS system

- Bias
- Insufficient spread on grid and subgrid scales.
- Spatial and temporal resolution

## Possible Solutions:

- Downscaling of precipitation/runoff;
- Bias correction of precipitation/runoff.



# Conclusions and Discussions

1. Distributed river routing system (coupled GEFS, NOAH and the Lohmann River Flow model) generates reasonable gridded river flow forecast.
  - The coupled GFS-Noah system provides reasonable forcing to the river routing model
2. The ensemble approach, especially the ensemble-based probabilistic forecast, improves the forecast skill significantly.
  - Ensemble spread is comparable to the forecast error in first moment
3. Large basin forecasts are more skillful with higher correlation and positive CRPSS for all lead times up to 16 days.
  - GEFS provides reasonable forcing
4. Medium/small basin forecasts, especially for short to medium lead time, suffer from underdispersion (insufficient spread).
  - Downscaling of hydro-meteorological forcing is needed.
  - Forecast can be improved and calibrated through bias correction.
5. For the small and medium basins at lead time of 2-7 days, the high resolution GFS forecast is superior to the lower resolution runs in that it has smaller bias, but this is balanced by lower forecast-analysis correlation.
  - The GEFS ensemble, with suitable post processing, can outperform higher resolution single forecast

# Further Development Plan

## Evaluation

- Using actual USGS streamflow observations at unregulated basins. (Ohio River, in corporation with Ohio RFC)
- Corporation initiatives from other RFCs welcome

## Configuration

- Expand to global domain (at 0.5 degree resolution)

## Improvement of the Forcing (precipitation/runoff)

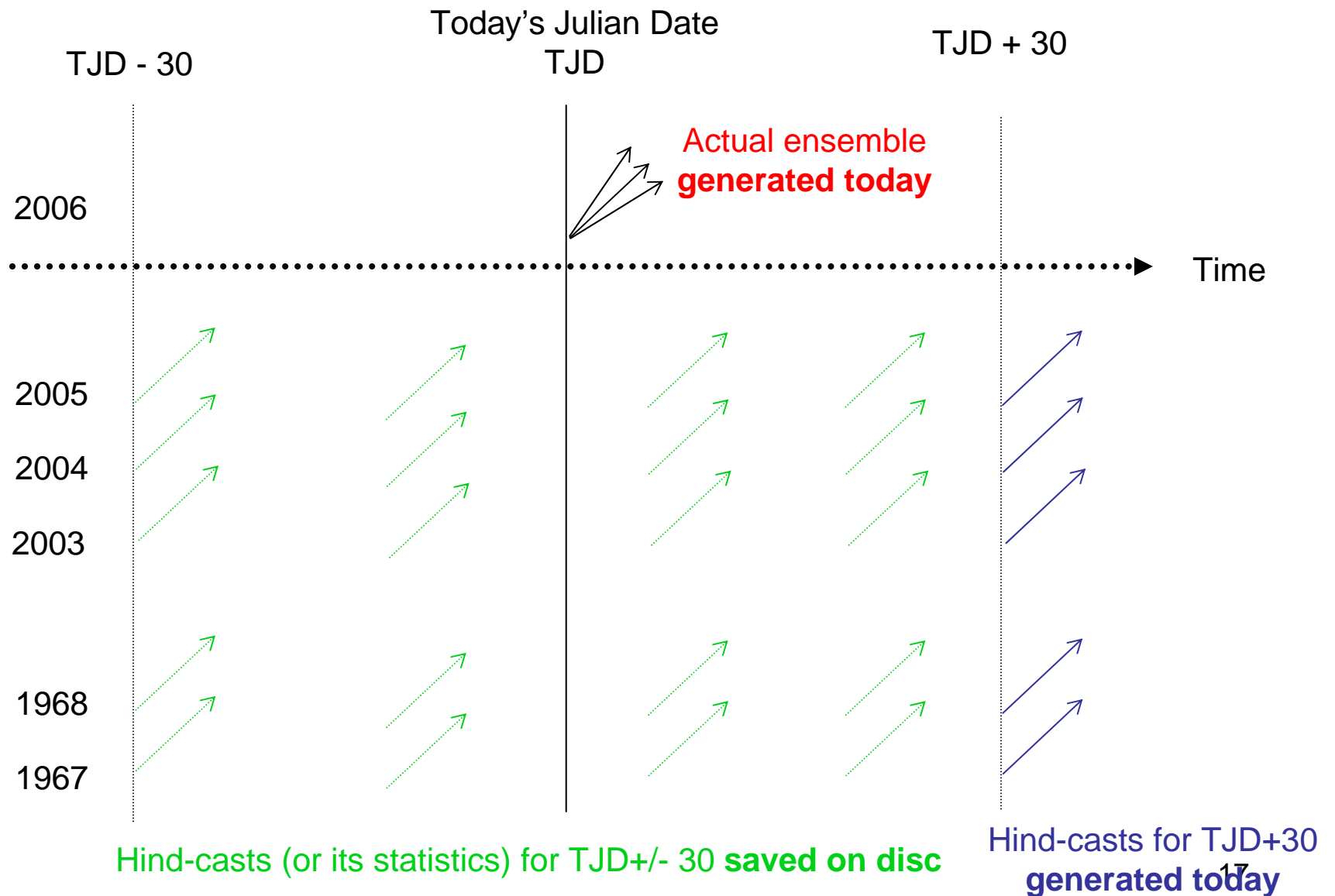
- Bias correction
- Downscaling

## Calibration of the Product

(post-processing of streamflow forecast)

- Bias correction to the streamflow output for better product.
- Generate a hind-cast data set for a better estimate of bias.

# REAL-TIME GENERATION OF HIND-CAST DATASET?



Thank You!

# Background

## Introduction: Background

- River routing experiment in analysis mode of the North American Land Data Assimilation System (NLDAS) project (Lohmann et al, 2004) revealed potential benefit of river flow forecast in NWP.
- Coupling of Atmospheric and Land Surface components of NWP systems (Mitchell et al, 2004) facilitates **gridded** stream flow forecast in NWP.
- Existence of uncertainty in initial conditions, model structure and forcing needs to be considered with an ensemble approach.

# Configuration and Design of Current Experiment

## (Approach A: Two Way coupling)

### Experimental Design

- **Period:** April 1<sup>st</sup> to May 30<sup>th</sup>, 2006
- **Forecast Cycle:** 00Z
- **Forecast Length:** 384 hours (16days)
- **Domain:** CONUS

### Configuration of the NCEP Global Ensemble Forecasting System (GEFS) (operational before May 31<sup>st</sup> 2006)

- **Model:** Two way coupled GFS-Noah
- **Ensemble Size:** 10 Members
- **Ensemble Generation:** Breeding
- **Resolution:** T126L28 for ensemble members and control forecast  
T382L64 (0-180h) and T190L64 (180-384)  
for GFS high resolution forecast (GFS)
- **Output:** Runoff  
1.0 deg. by 1.0 deg. grid, every 6h for ensemble members and control  
0.5 deg. By 0.5 deg. grid, every 6h for GFS high resolution forecast

# Forecast Example (initiated April 1<sup>st</sup>, 2006) Stream Flow

Forced by GFS, GEFS Forecast and NLDAS Product

Forecast Starting at 00Z, April 1<sup>st</sup>, 2006. Lead time **15 days**

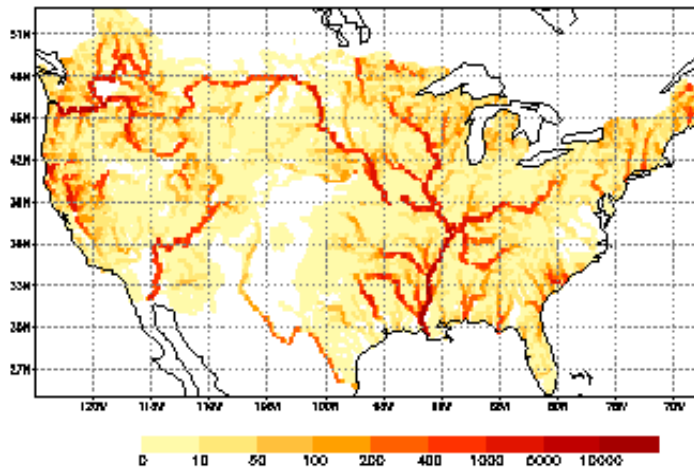
Single control forecasts similar to each other;

Ensemble mean is similar to the analysis.

This suggests the ensemble mean has its value in stream flow forecast.

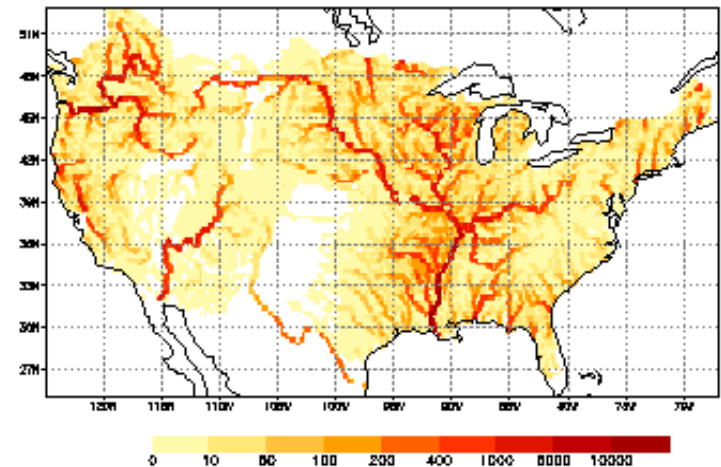
## GFS High Res.

STREAM FLOW: GFS 360hr fcast from 20060401



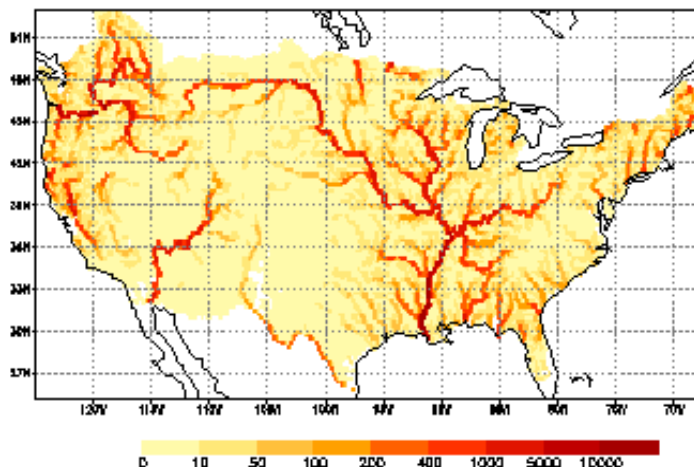
## Ensemble (low res.) Control

STREAM FLOW: CTL 360hr fcast from 20060401



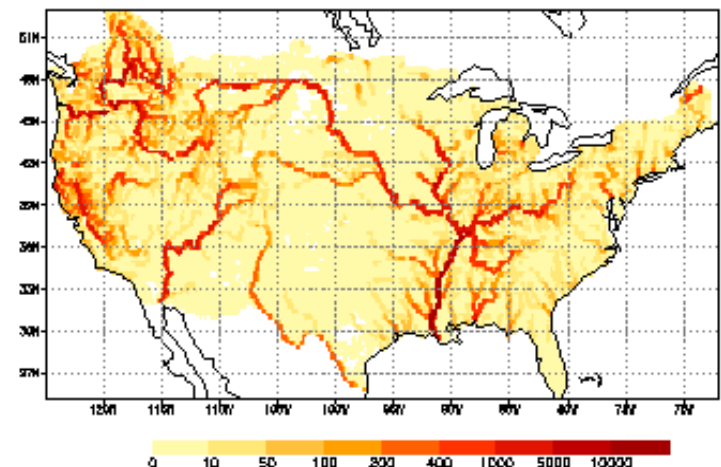
## Ensemble Mean

STREAM FLOW: ENS. MEAN 360hr fcast from 20060401



## Analysis (NLDAS)

STREAM FLOW: NLDAS 360hr fcast from 20060401



# Forecast Example (initiated April 1<sup>st</sup>, 2006) Stream Flow

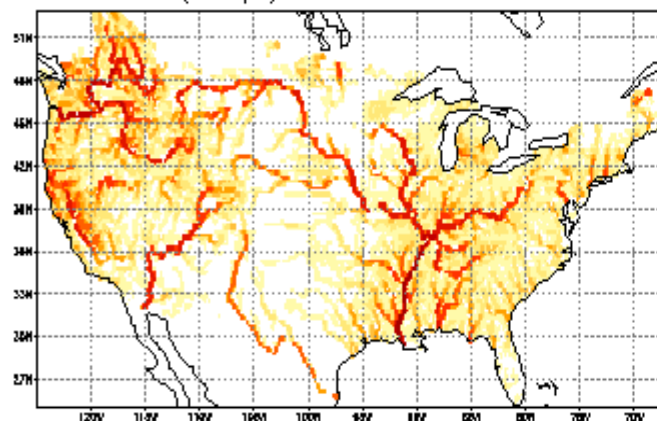
Stream Flow, Analysis and Ensemble Mean Forecast

Absolute Error of Ensemble Mean and Ensemble Spread

Forecast Starting at 00Z, April 1<sup>st</sup>, 2006. lead time 12 days

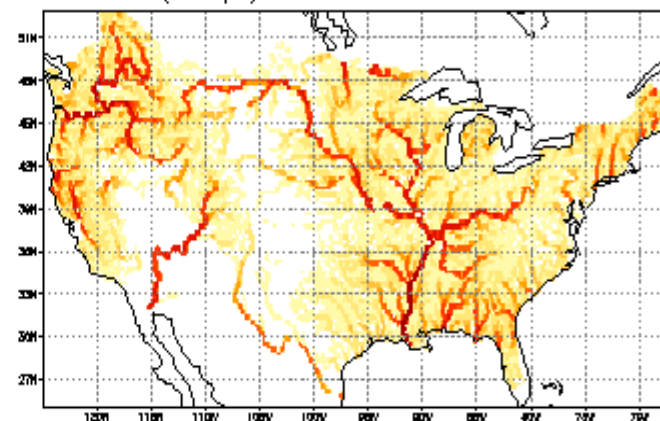
## Analysis (NLDAS)

STREAMFLOW (m<sup>3</sup>/s): NLDAS 288hr fcst from 20060401



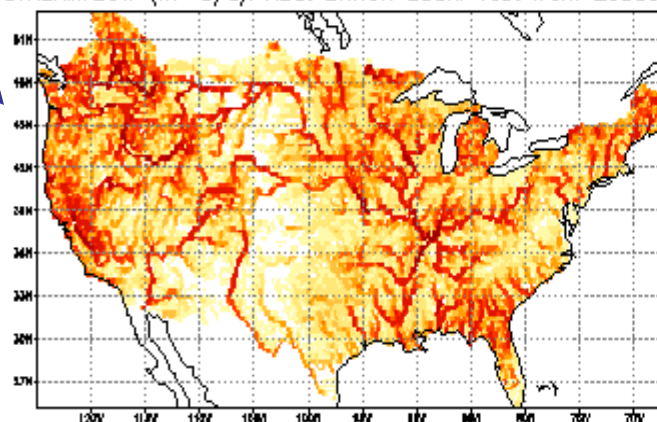
## Ensemble Mean

STREAMFLOW (m<sup>3</sup>/s): ENS. MEAN 288hr fcst from 20060401



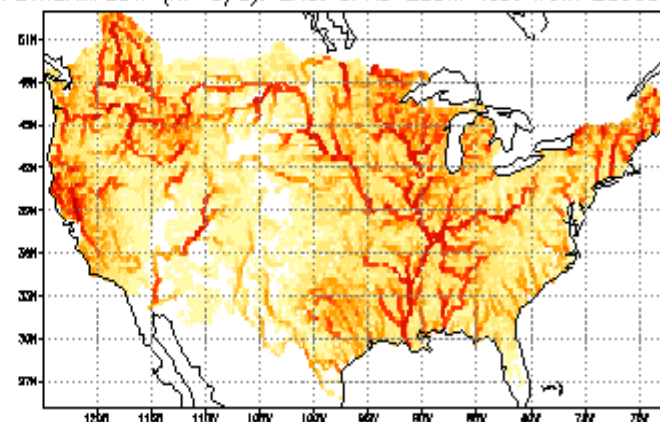
## Absolute Error

STREAMFLOW (m<sup>3</sup>/s): ABS. ERROR 288hr fcst from 20060401



## Ensemble Spread

STREAMFLOW (m<sup>3</sup>/s): ENS. SPRD 288hr fcst from 20060401



Same as previous slide except for the error, where absolute value is plotted to compared with the spread.

Spread is comparable to error, but the value is smaller, especially in the West.

## Forecast Examples

Mississippi, River  
Vicksburg, MS  
The Large Basin

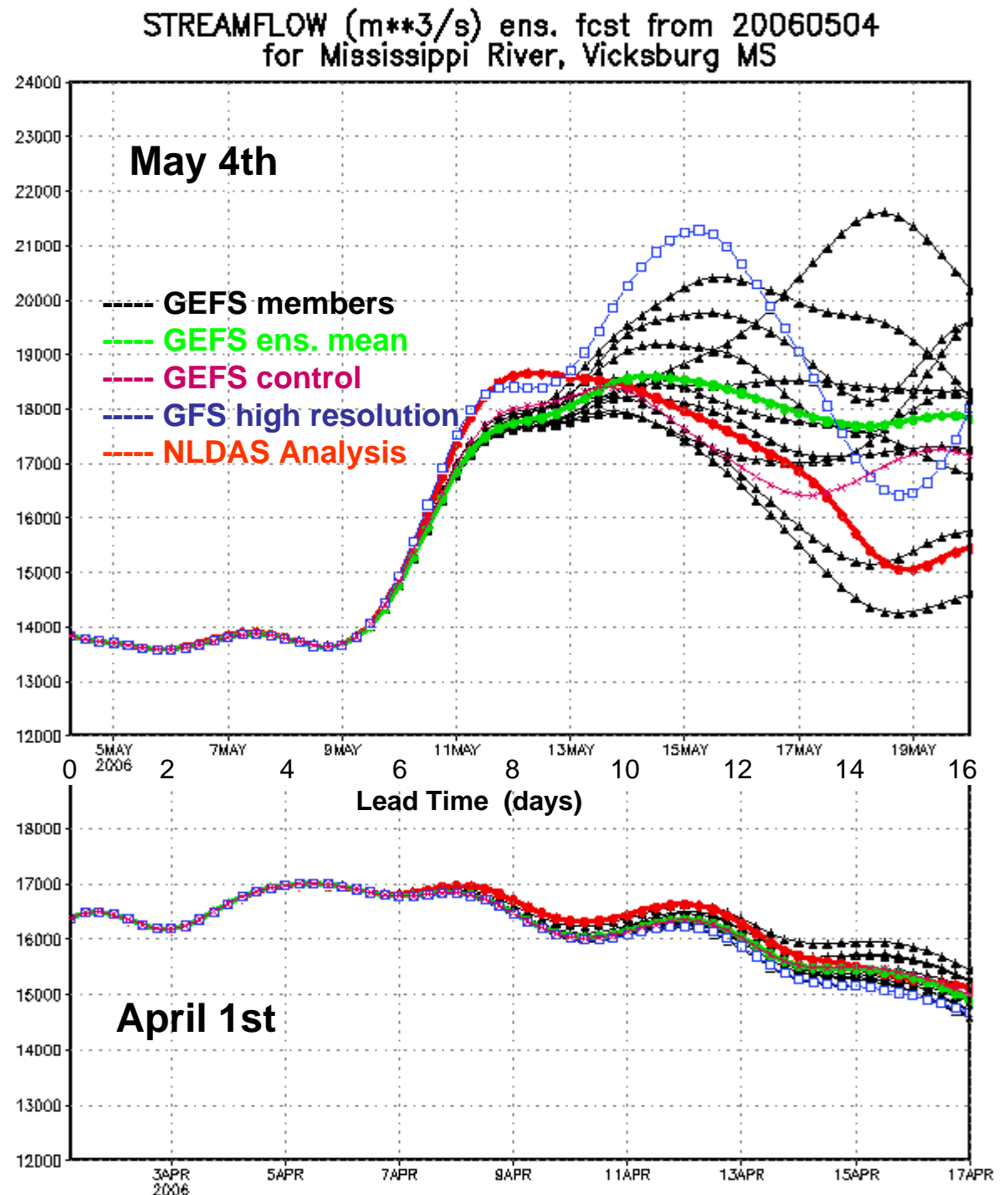
### May 4<sup>th</sup> case

A major mid-range event well predicted; Significant spread in extended range

### April 1<sup>st</sup> case

Without a major event, all simulations are similar and spread is small.

Trend and events picked up.  
Short lead time dominated  
by initial condition, showing  
little spread.  
Spread Increases with time.

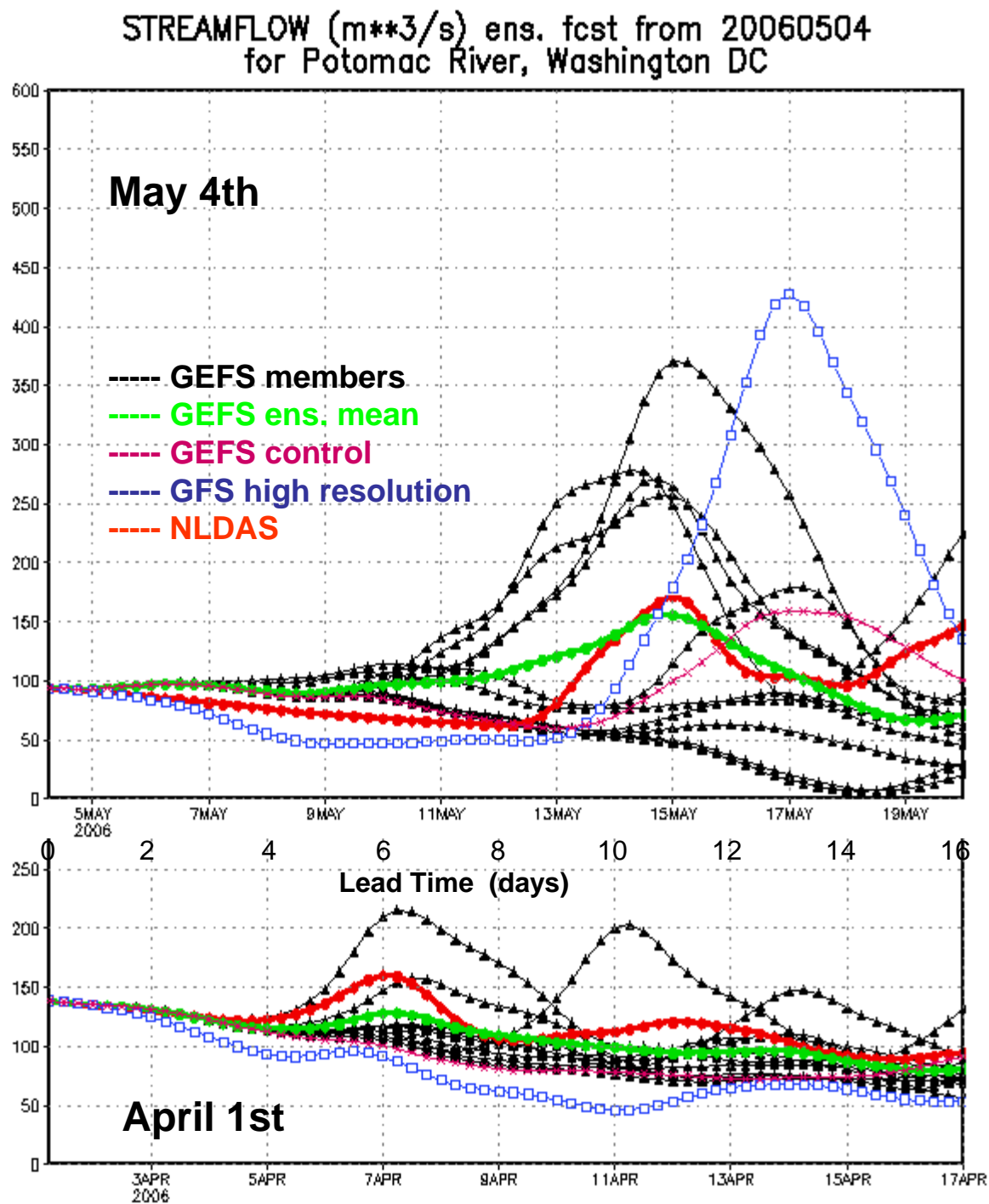


## Potomac River A Medium Sized Basin

In both cases

Single forecasts are  
insufficient.

Non-linear evolution of  
ensemble members help  
to improve forecast and  
catch major flood events.

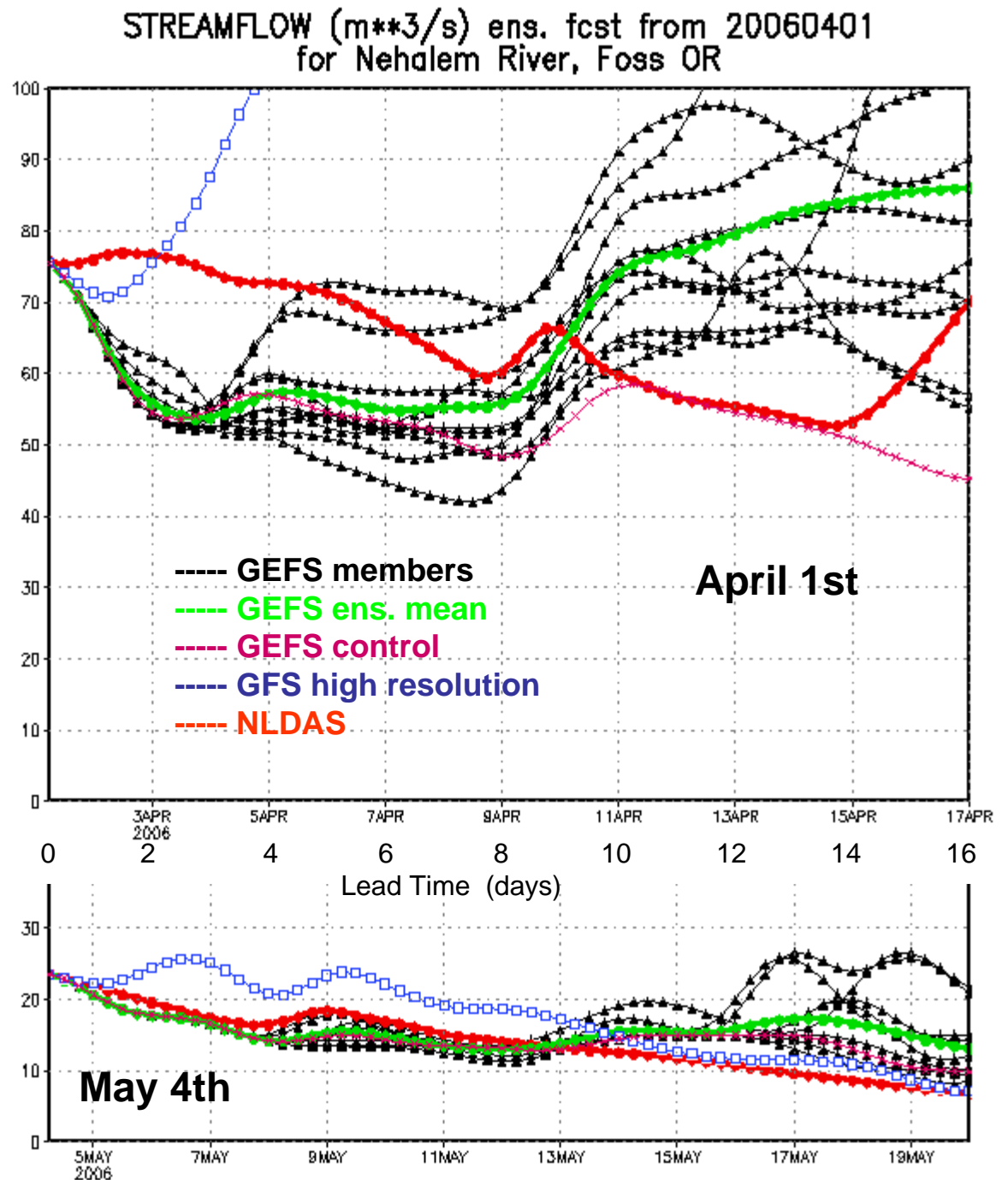


## Nehalem River, FOSS OR A Small Basin

A challenge for the models.  
April 1<sup>st</sup>, large forecast  
discrepancy from day 1  
despite significant spread

### Possible causes of the problem in the short range forecast

- Lack of spread in  
precip. fcst. on grid and  
subgrid scale.
- Spatial and temporal  
resolution of the runoff.
- Bias of precipitation  
(and runoff) forecast



# Merrimack-Concord River Lowell, MA A Medium Sized Basin

## Major Problem

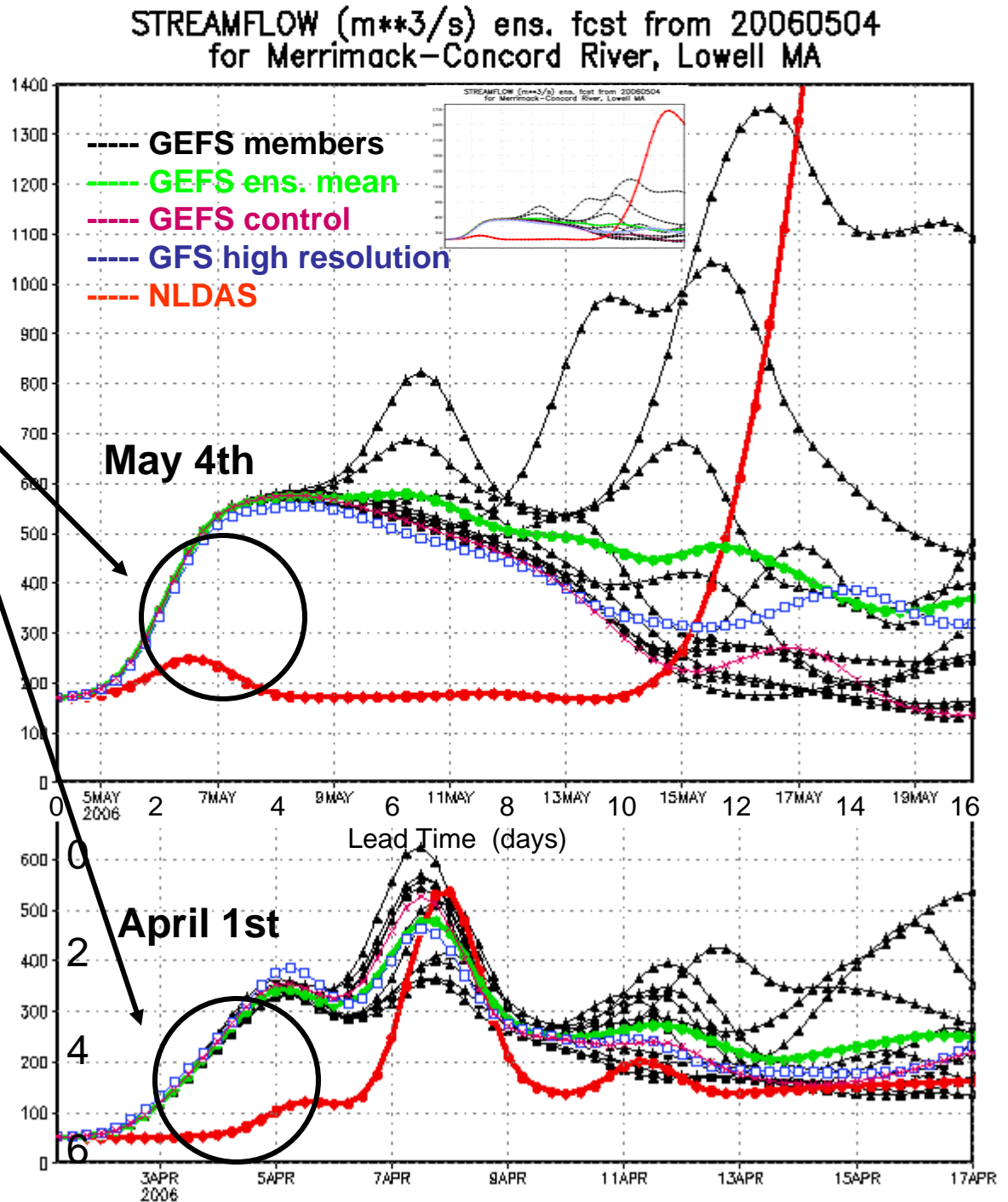
Underdispersive ensemble  
in grid and subgrid scale  
precipitation.

## Mid-May Flood Event

Compared with the Early-April event, the Mid-May event is harder for the model to simulate. Nevertheless, the ensemble shows some skill indicating a major event with 10+ day lead, various amplitude and timing.

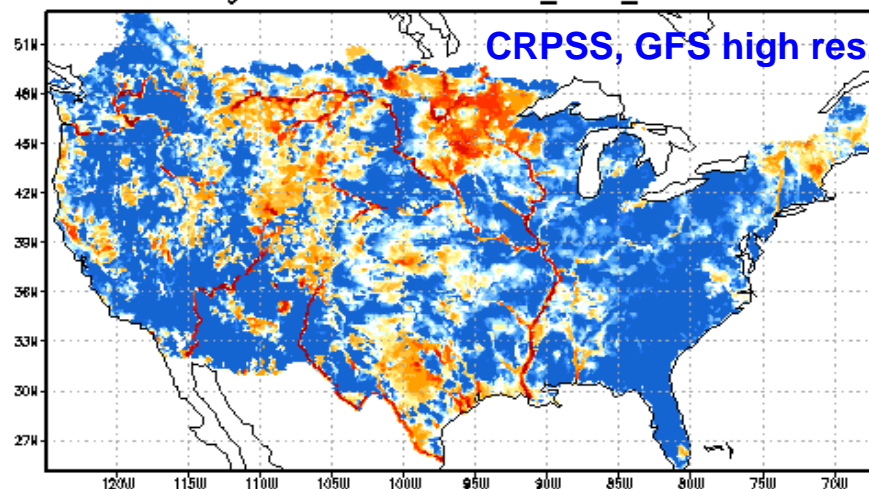
## Early April

## Major event forecast despite short range over-forecast



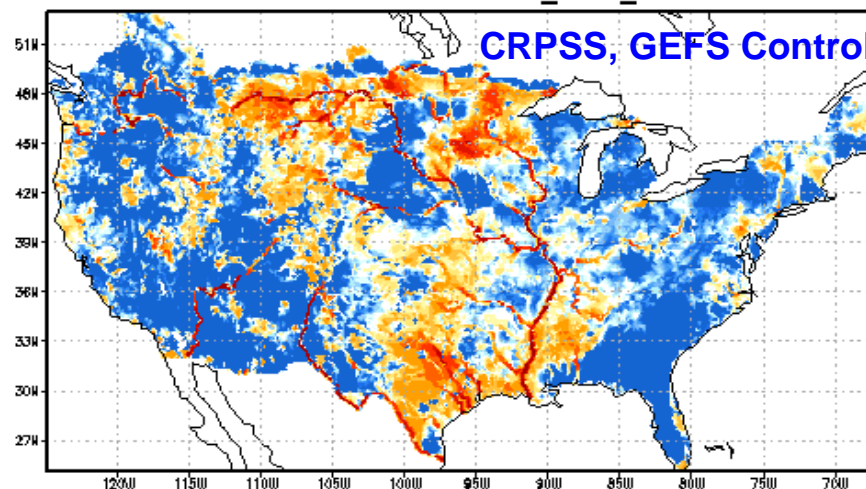
# CRPSS of Various Forecasts (lead time 120h)

STREAMFLOW fcst CRPSS:  
GFS High Res. 120hr fcst DME0\_CRPS\_200604-05



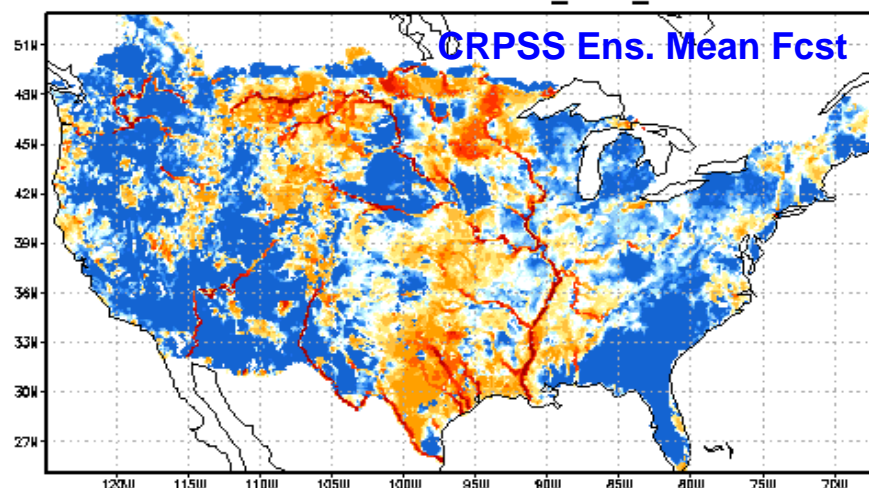
-0.9 -0.8 -0.7 -0.6 -0.5 -0.4 -0.3 -0.2 -0.1 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9

STREAMFLOW fcst CRPSS:  
GEFS Control 120hr fcst DME0\_CRPS\_200604-05



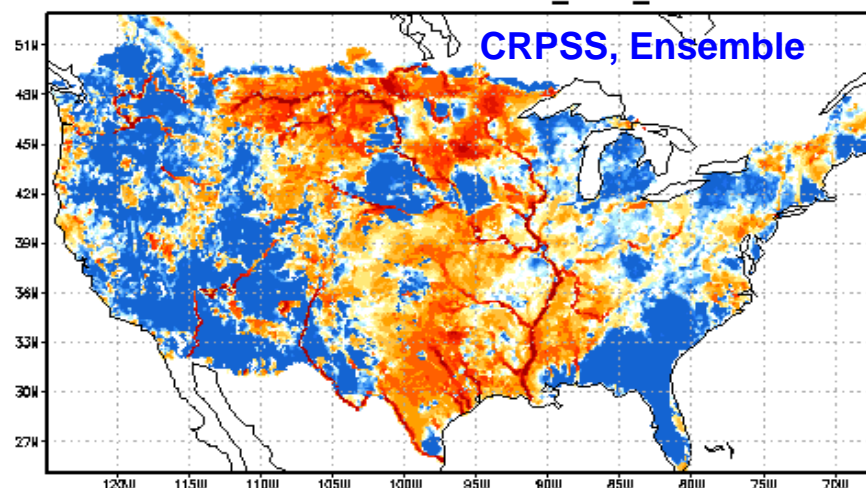
-0.9 -0.8 -0.7 -0.6 -0.5 -0.4 -0.3 -0.2 -0.1 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9

STREAMFLOW fcst CRPSS:  
GEFS ENS MEAN 120hr fcst DME0\_CRPS\_200604-05



-0.9 -0.8 -0.7 -0.6 -0.5 -0.4 -0.3 -0.2 -0.1 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9

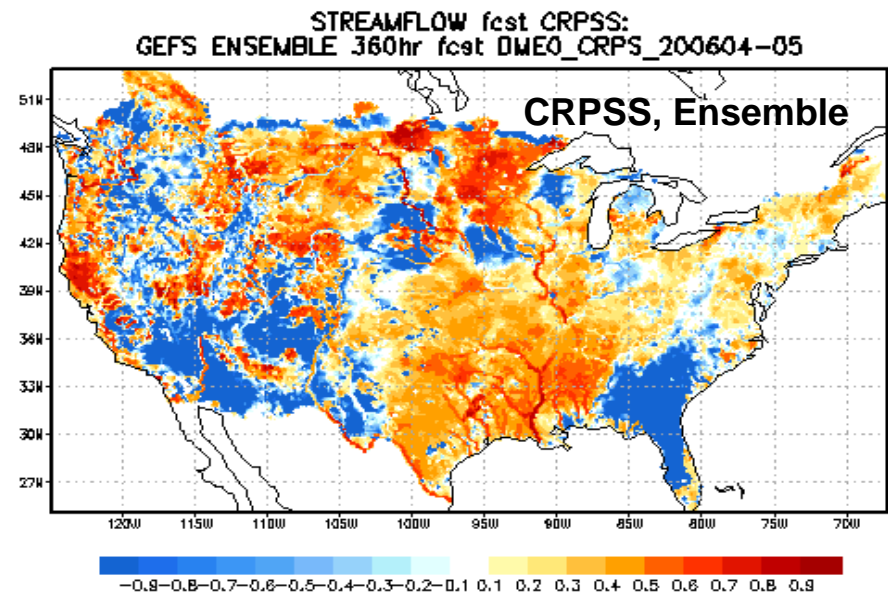
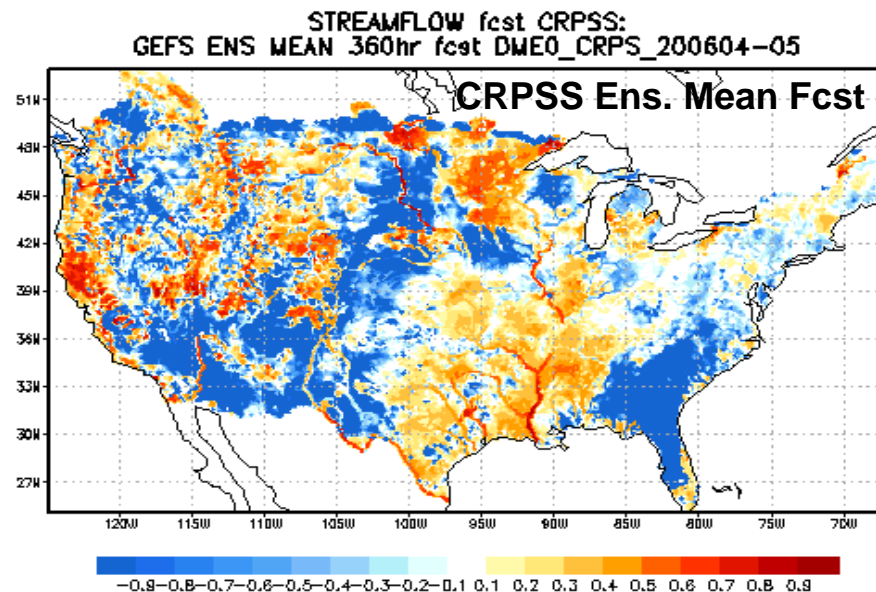
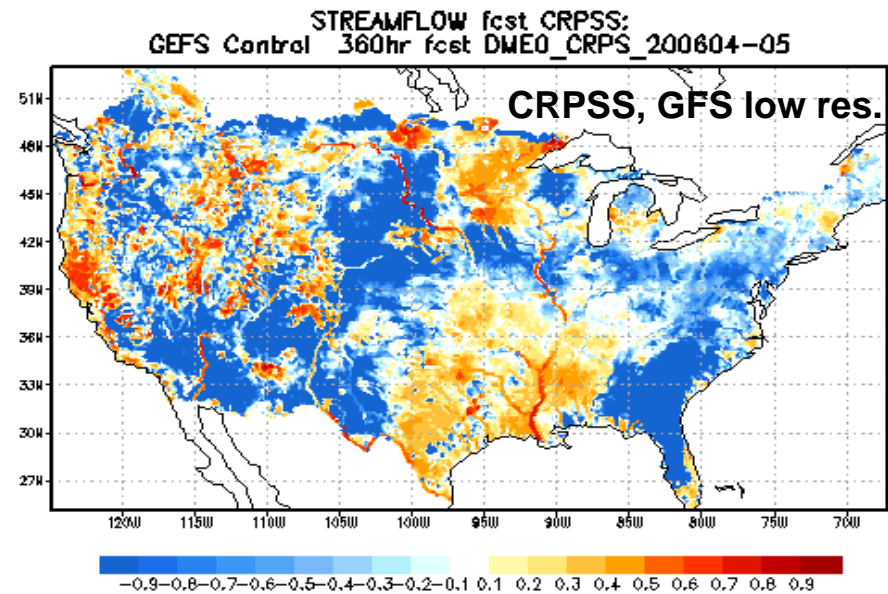
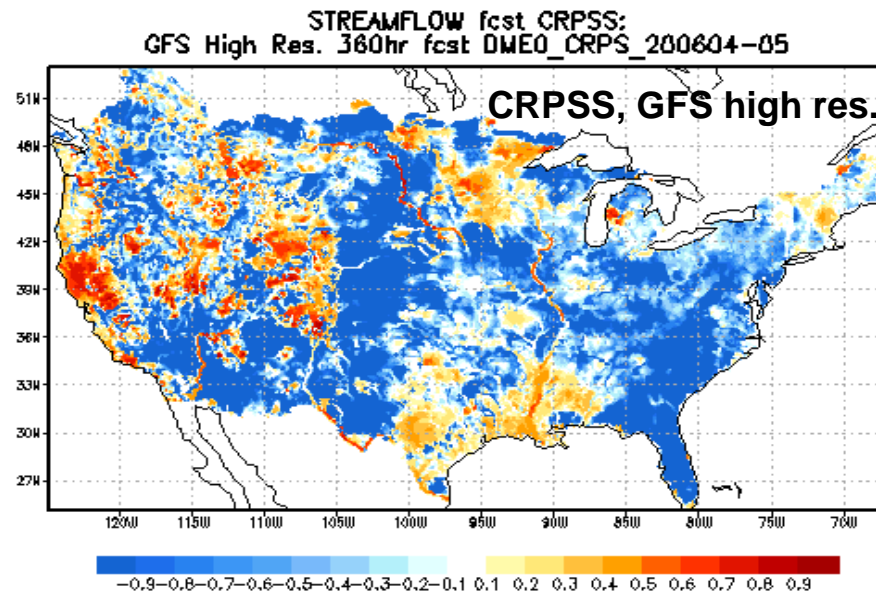
STREAMFLOW fcst CRPSS:  
GEFS ENSEMBLE 120hr fcst DME0\_CRPS\_200604-05



-0.9 -0.8 -0.7 -0.6 -0.5 -0.4 -0.3 -0.2 -0.1 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9

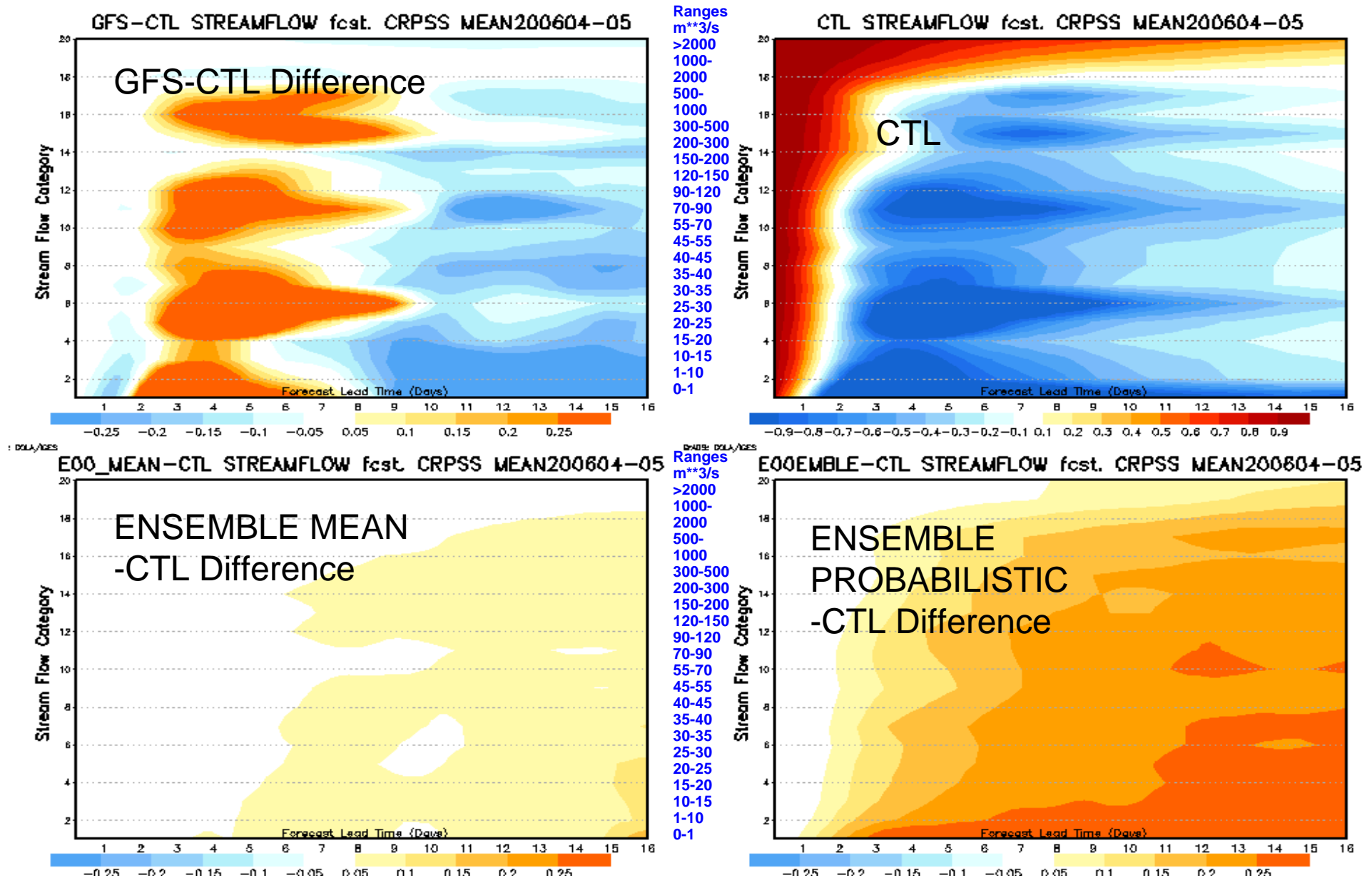
# CRPSS of Various Forecasts (lead time 360h)

(After Bias-correction with dependent training period)



# CRPSS as a Function of Lead Time and Mean Flow, Raw Forecasts

Slight Improvement due to ensemble approach  
Major Improvement due to probabilistic forecast  
High resolution GFS is superior for 2-8 day lead

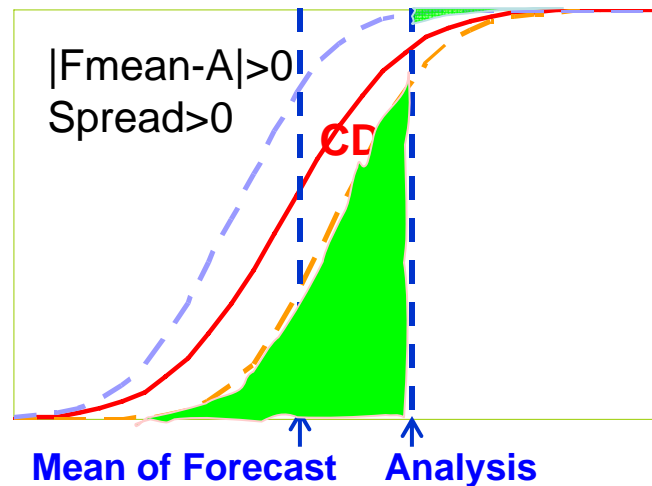
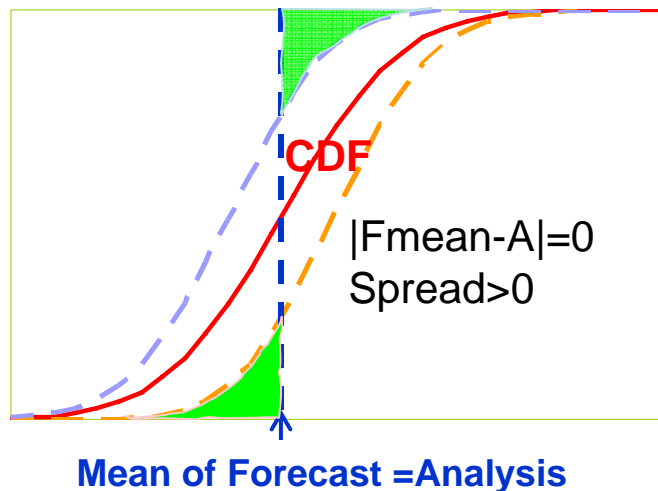
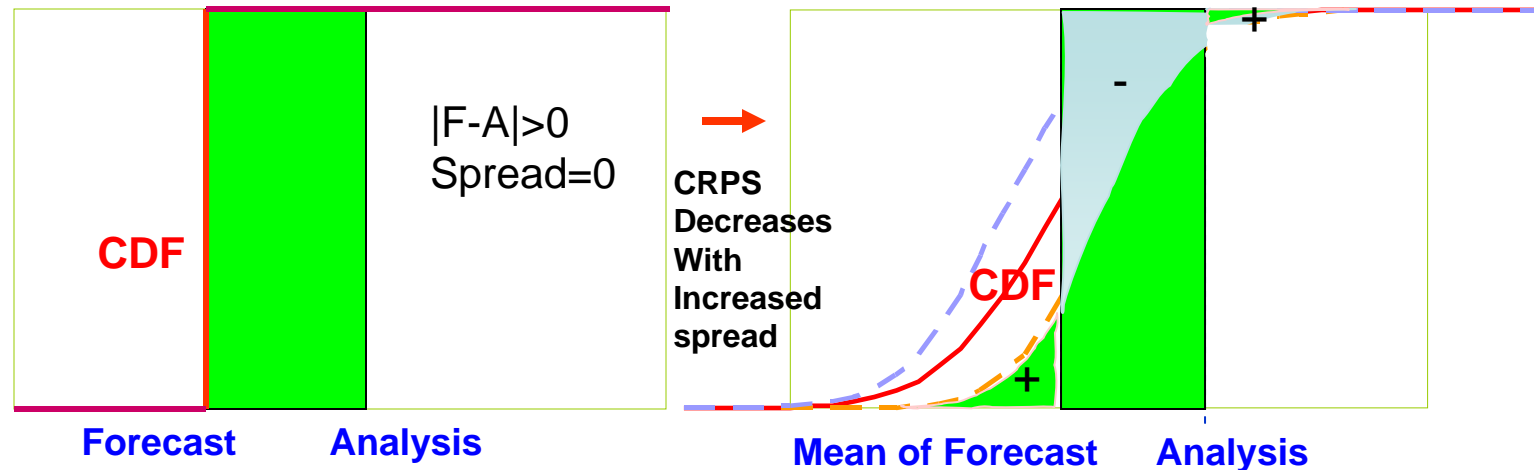


# How CRPS Reflects Errors in 1<sup>st</sup> (position) and 2<sup>nd</sup> (dispersion) Moments?

In the situation where 1<sup>st</sup> moment error exists ( $|F_{\text{mean}} - A| > 0$ ), CRPS is minimized if

$\text{Spread} \sim |F_{\text{mean}} - A|$   
(an idealized ensemble).

CRPS is smaller if  
(1) the analysis is  
closer to the mean  
of the forecast pdf  
and (2) spread is  
smaller (CRPS=0  
for a perfect  
deterministic  
forecast).



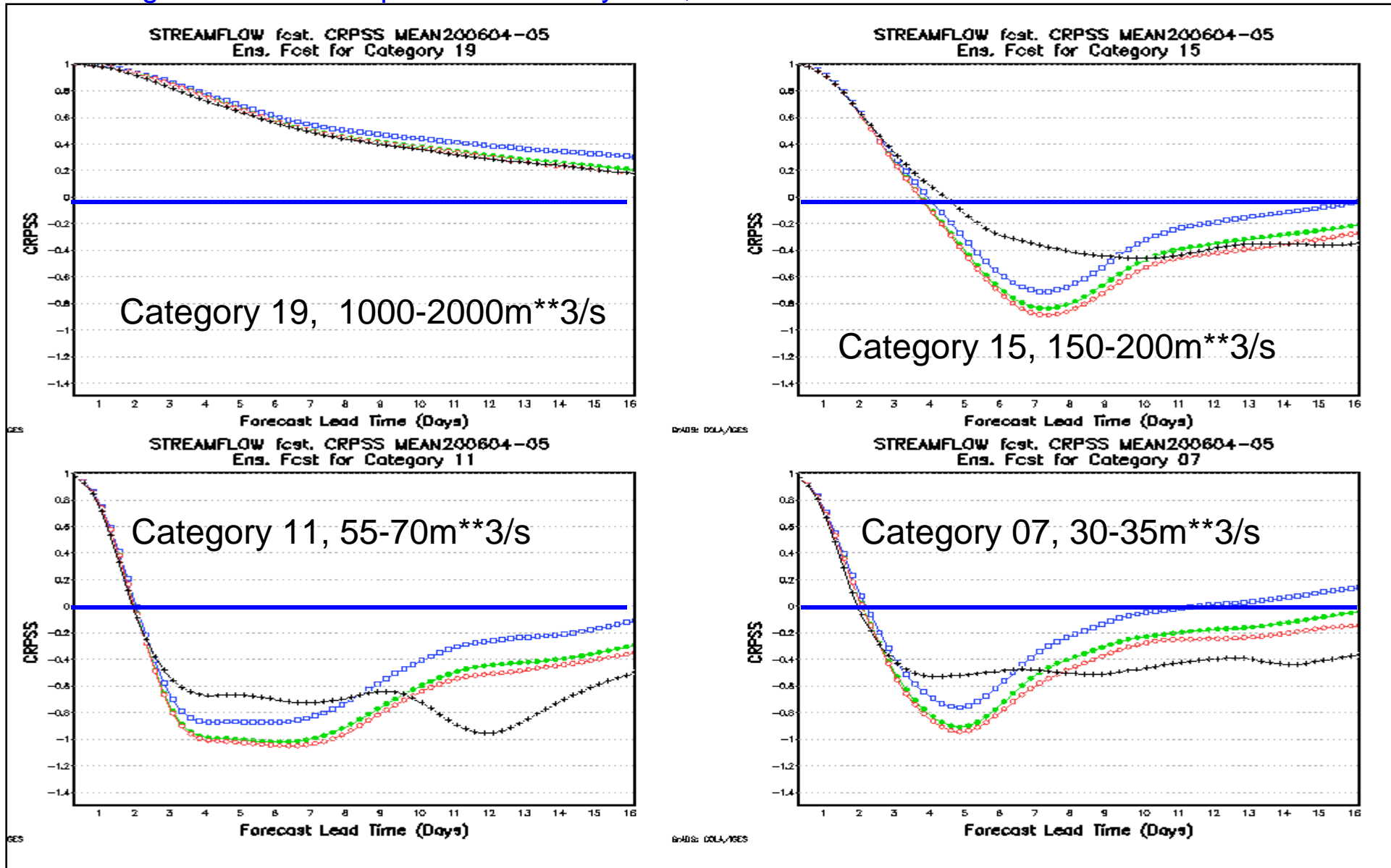
CRPS can be reduced  
by **bias correction**  
(adjustment of the first  
moment) and/or  
**spread inflation**  
(adjustment of the  
second moment)

# Category-mean of CRPSS (Probabilistic based on GEFS)

Slight Improvement due to ensemble approach  
Major Improvement due to probabilistic forecast

High res. GFS is superior for 2-8 day lead, small and medium basins

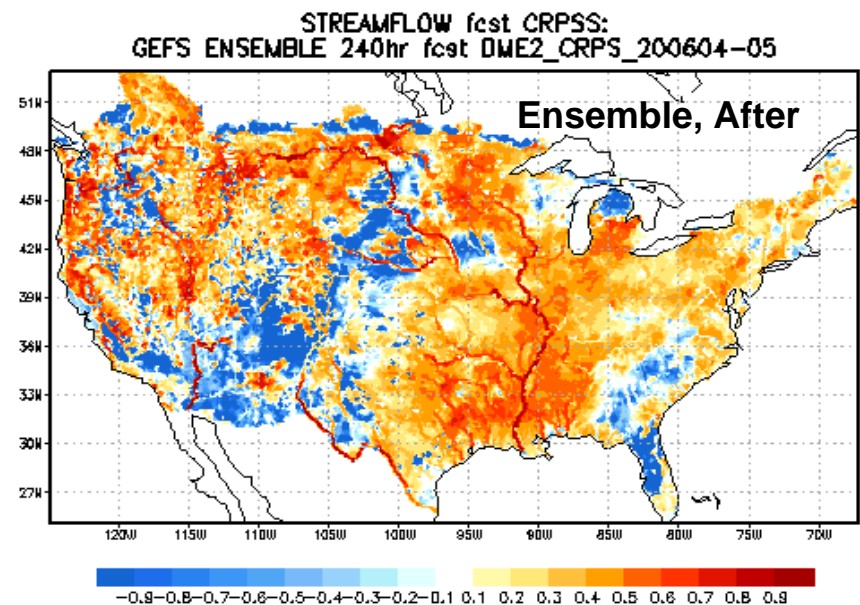
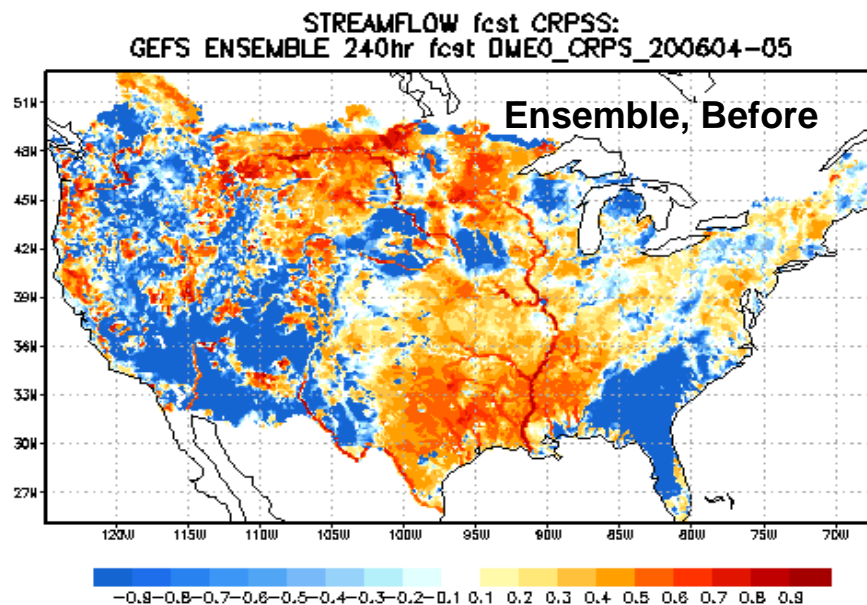
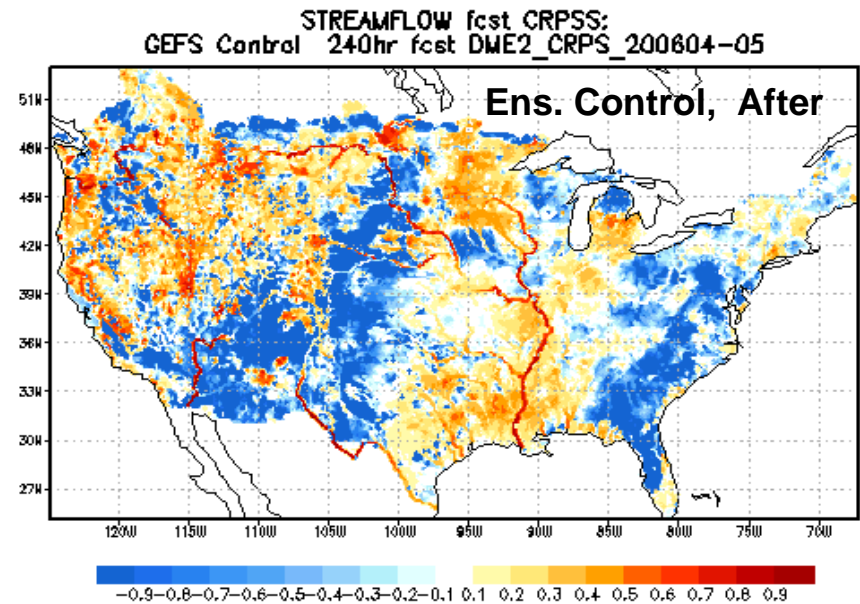
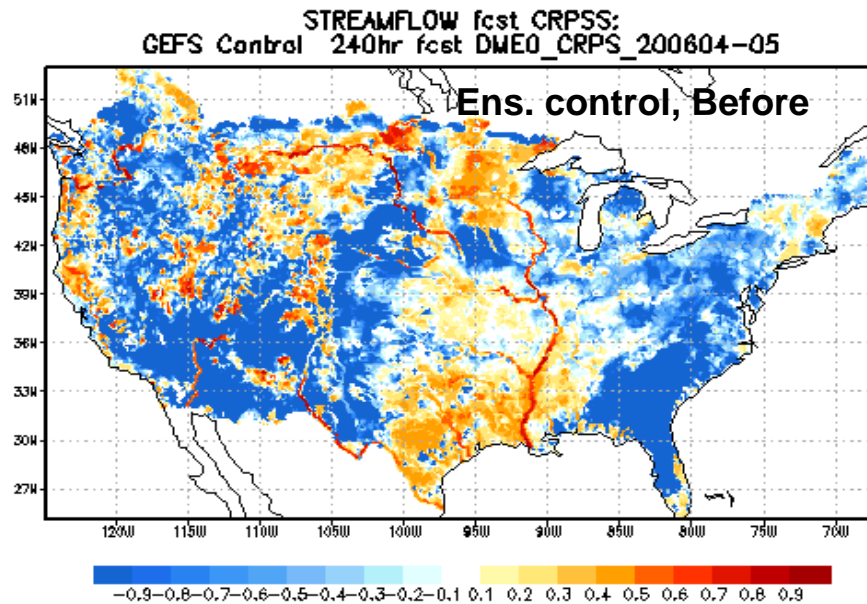
--- GFS  
--- CTL  
--- ENS. MEAN  
--- ENSEMBLE



# Effect of Bias Correction

## CRPSS of Ensemble Control and Ensemble

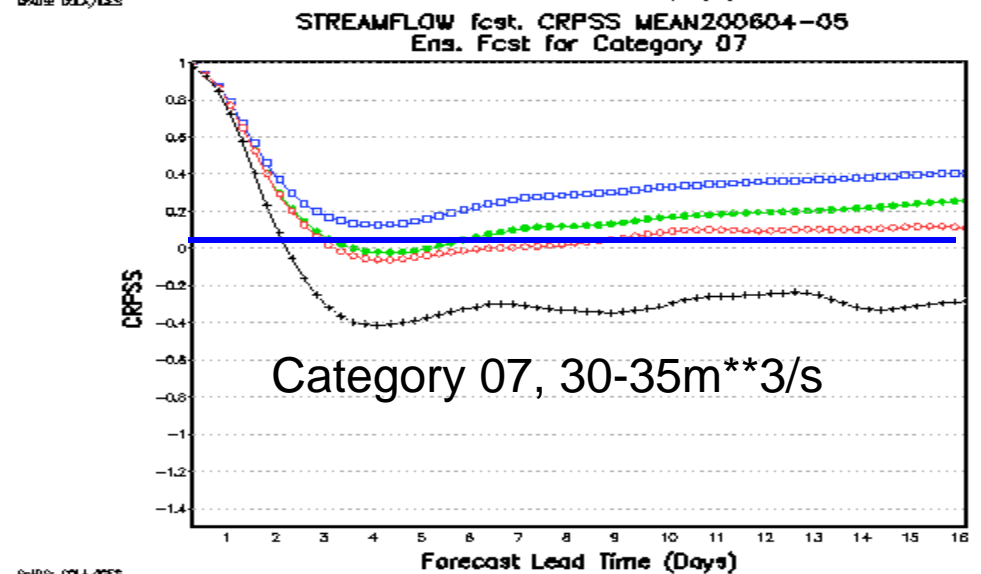
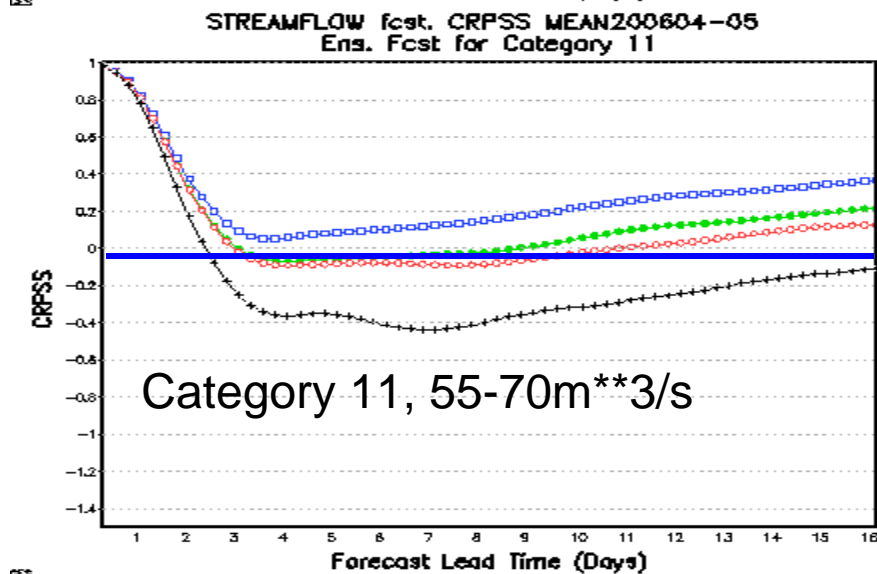
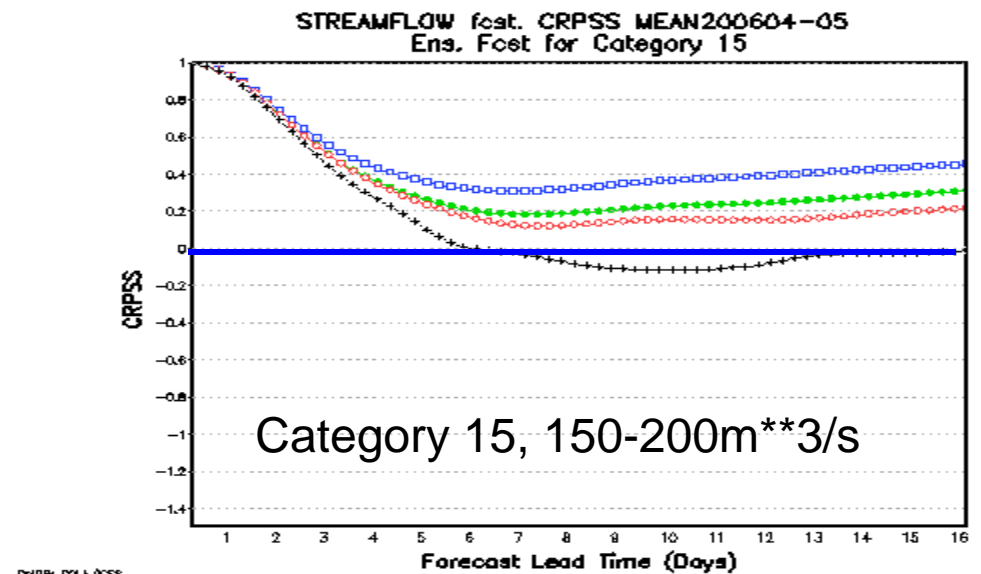
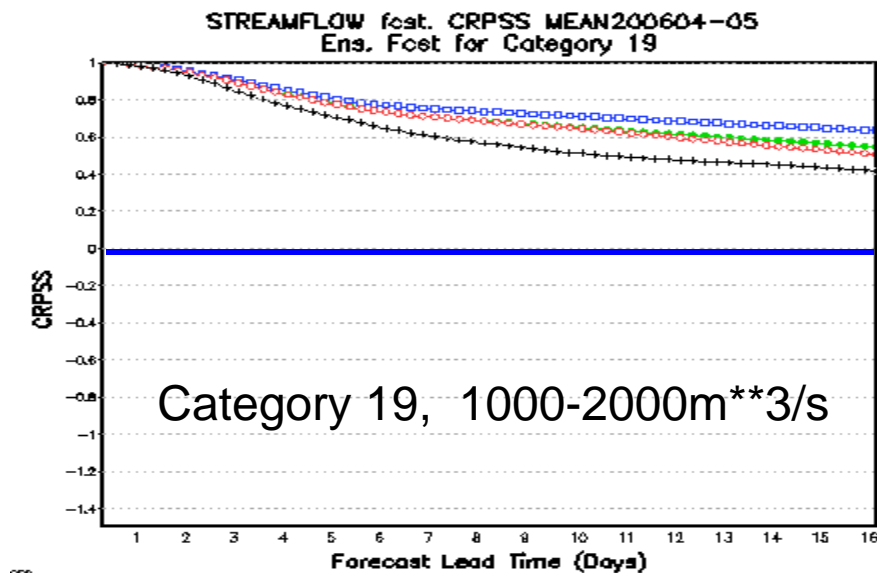
(Lead Time 240h; before and after bias-correction)



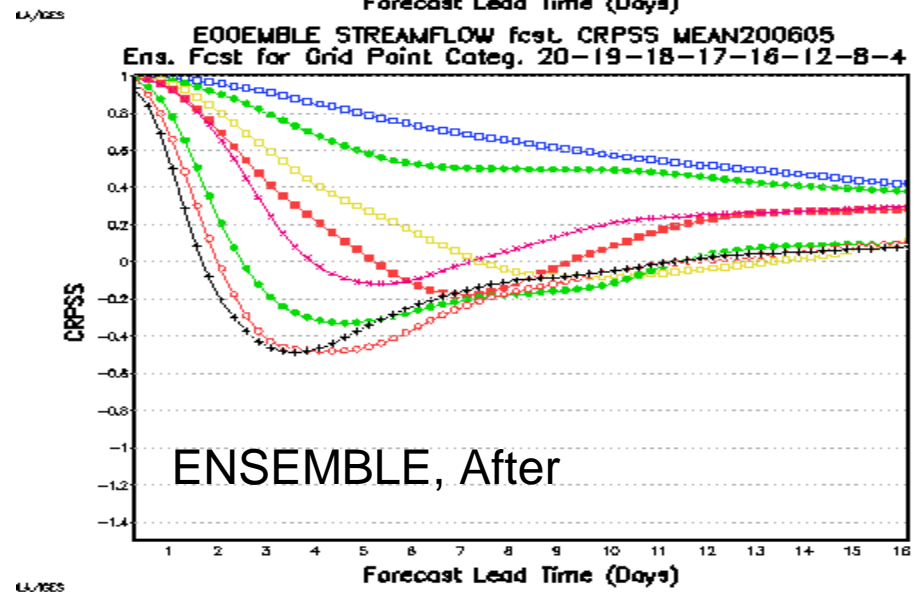
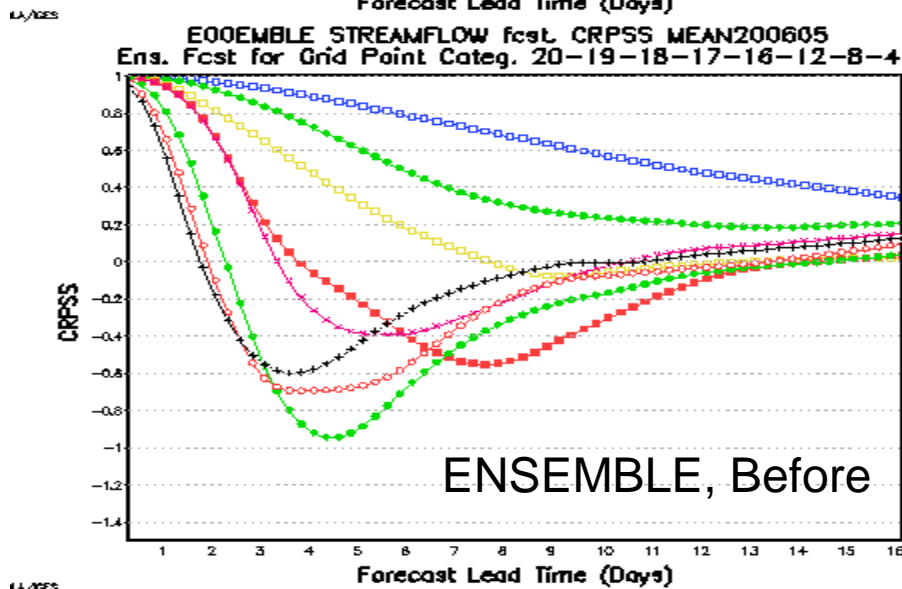
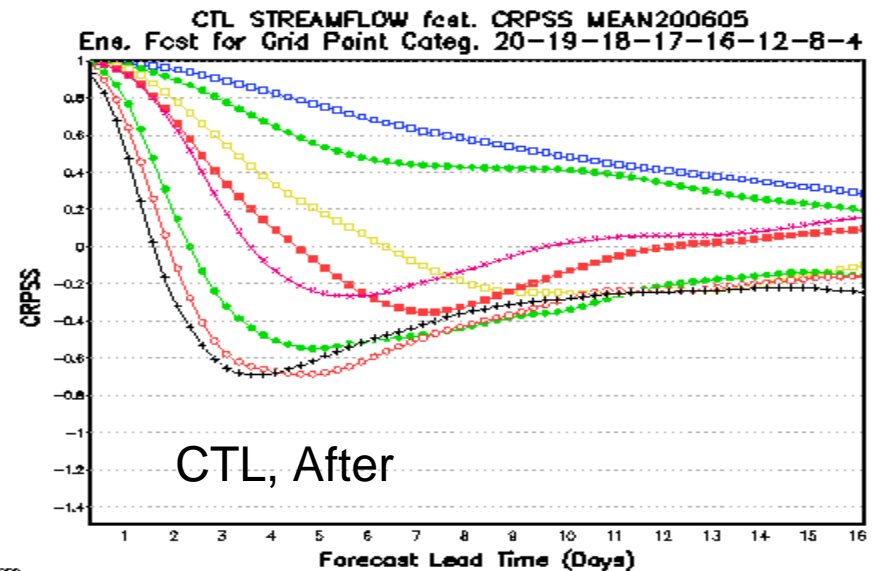
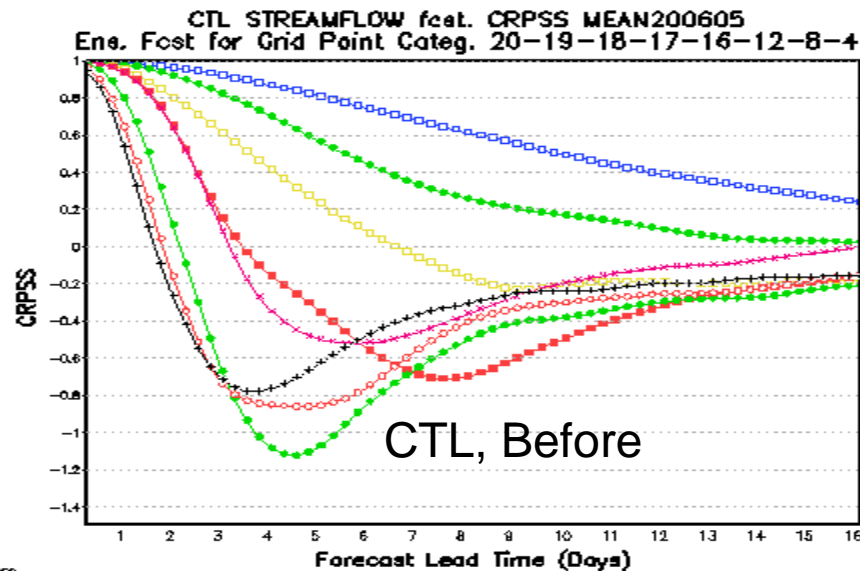
# Category-mean of CRPSS, After Bias Correction (Probabilistic Forecast based on GEFS)

High res. GFS is NOT superior

--- GFS  
--- CTL  
--- ENS. MEAN  
--- ENSEMBLE



# Bias Correction with Independent Training Data Set (Training: April; Evaluation: May)



# MOTIVATION FOR ATM / LAND / HYDRO ENSEMBLE EXPS

- Purpose of seminar
  - Share initial results
  - Seek advice and collaboration
- Main goal of experiments
  - Evaluate quality of meteorological forcing (precipitation)
- Approach
  - Work with a land surface & river routing model that is readily available
    - Focus is not on particular land/hydro models used, that's secondary
  - Study quality of river flow forecasts to learn about shortcomings in meteorological forcing (ensemble)
- Outcomes
  - Use results to adjust priorities for THORPEX and related work on improving ensemble forcing for hydrological applications
  - Explore possibility of distributed atmospheric/land surface / hydro ensemble forecasting
    - Is there any promise with available simple models and approaches used?
    - Work collaboratively to further explore this avenue with better models, techniques, etc

# XEFS PLANS

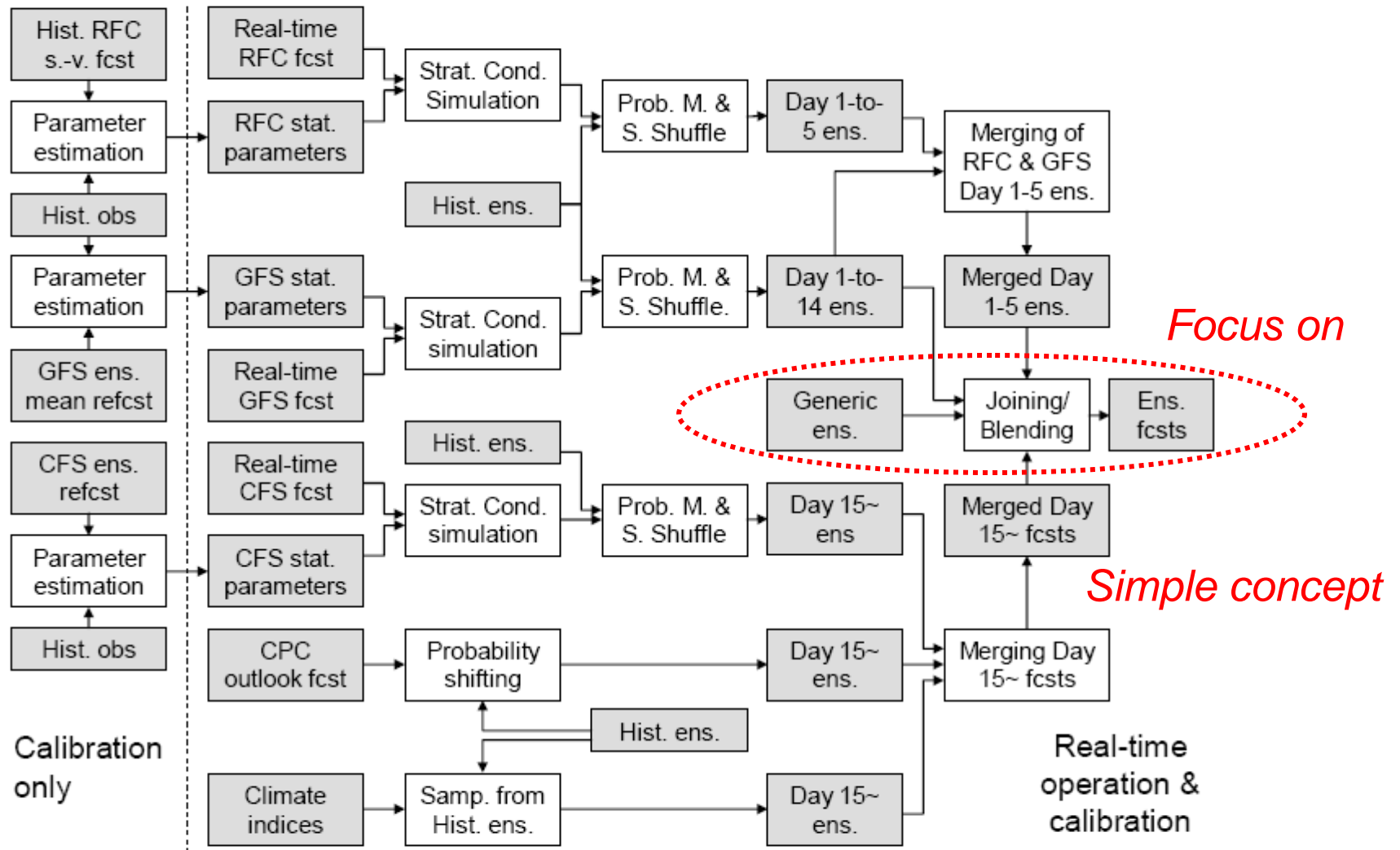


Figure 2.2 EPP3 science algorithm suite workflow diagram.

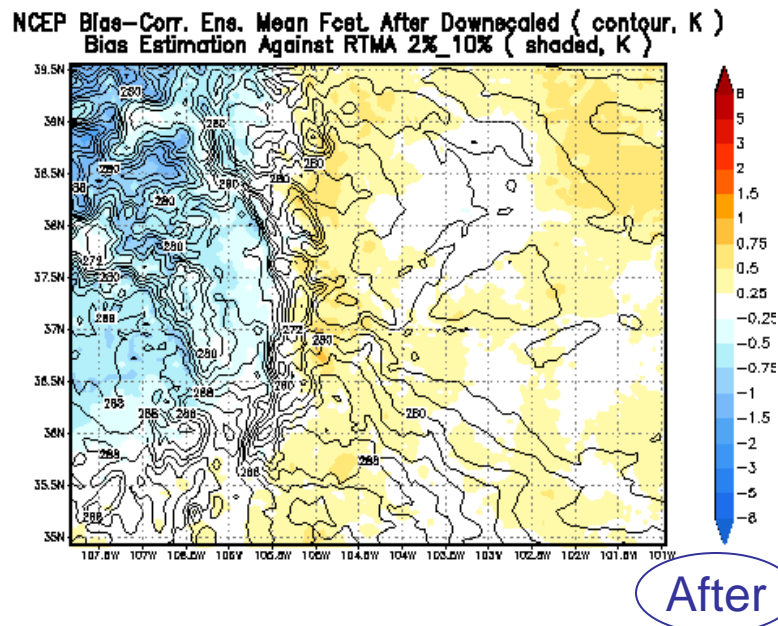
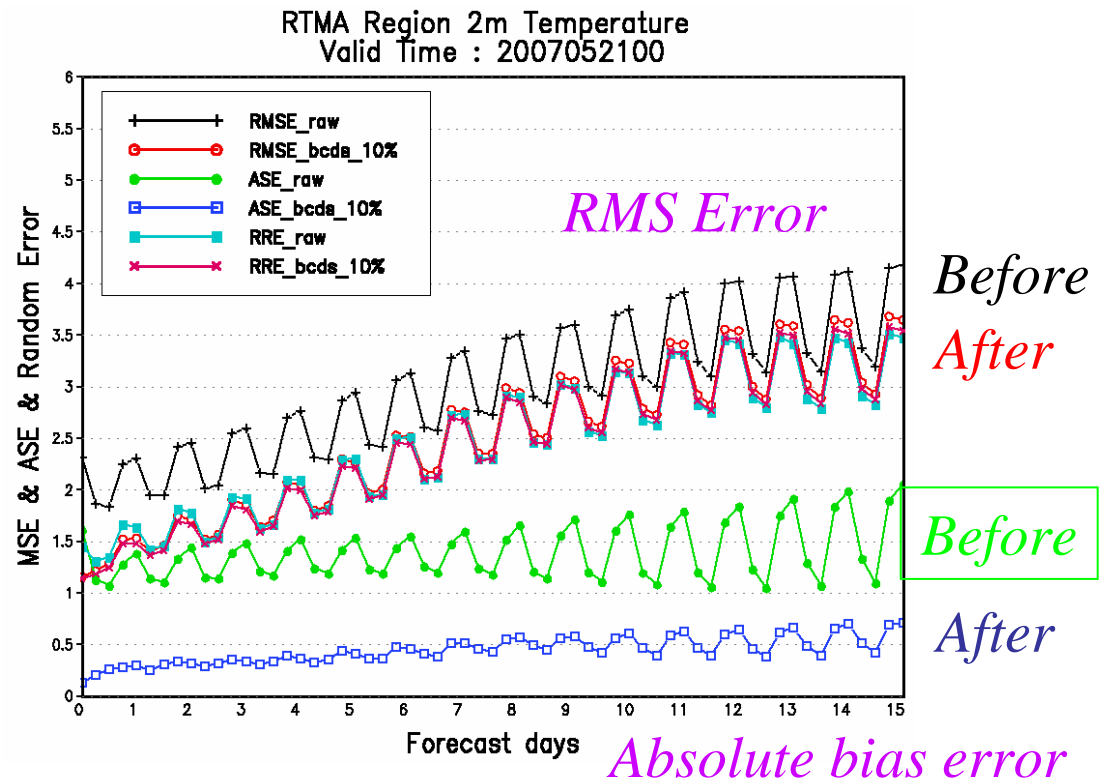
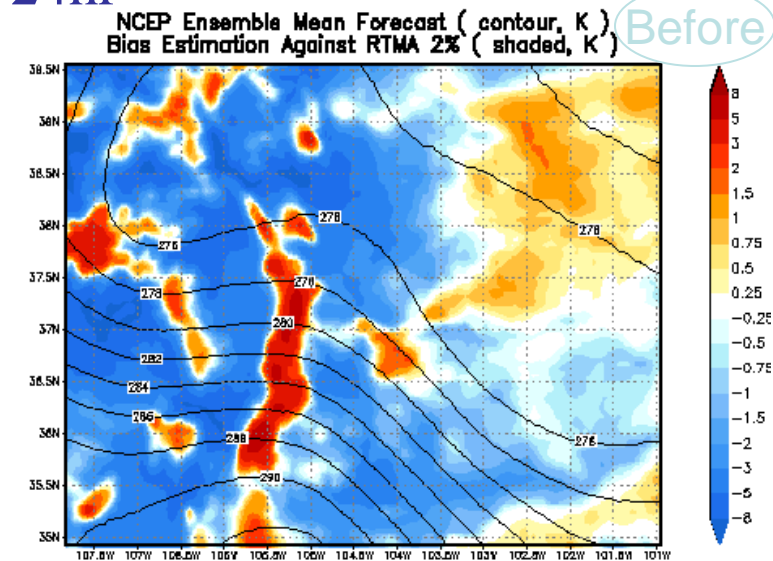
From “The Experimental ensemble Forecast System (XEFS) Design and Gap Analysis”, report of the XEFS Design and Gap Analysis Team, NOAA/NWS

# PROBABILISTIC NUMERICAL GUIDANCE FOR HIGH IMPACT EVENTS

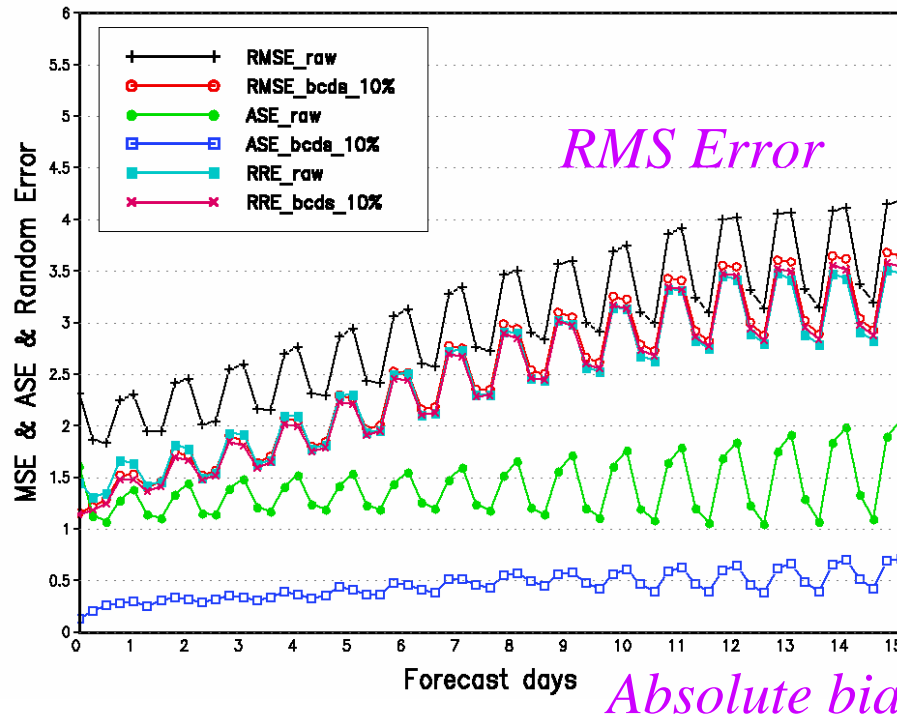
- Mini-POP
  - Developed under EMP & STI (THORPEX)
- Goal
  - Bias corrected & downscaled ensemble forecasts for wide variety of users
    - NCEP Service Centers, WFOs modify numerical first guess, keep ensemble format
    - Generate any and all products from primary bias-corrected / downscaled ensemble
- Flagship
  - North American Ensemble Forecast System
    - Joint NCEP / Canadian ensemble
      - Bias correction of first moment for 35 quasi-normal variables
      - Combination of two ensembles
      - Climate anomalies for ~20 variables
- Future plan includes
  - Bias correction of all model variables on model grids
    - Unified Bayesian approach
      - All time scales (SREF, NAEFS, CFS)
      - All variables, including precipitation
    - Hind-casts as needed generated in real time
      - Allows frequent model updates
  - Downscaling to NDFD (or similar) grid, using RTMA analysis
    - Preliminary example for 2m temperature (10m winds also available)
      - More advanced downscaling approaches to be explored
        - » Capture case dependent information on fine scales
        - » Add stochastic perturbations to represent uncertainty on NDFD scales

# UNDER TESTING - Ensemble Mean Forecast bias & RMS error before & after bias correction & downscaling

24hr



RTMA Region 2m Temperature  
Valid Time : 2007052100



## QUALITATIVE COMPARISON OF ADAPTIVE BIAS CORRECTION & DOWNSCALING METHODS WITH EXISTING APPROACHES

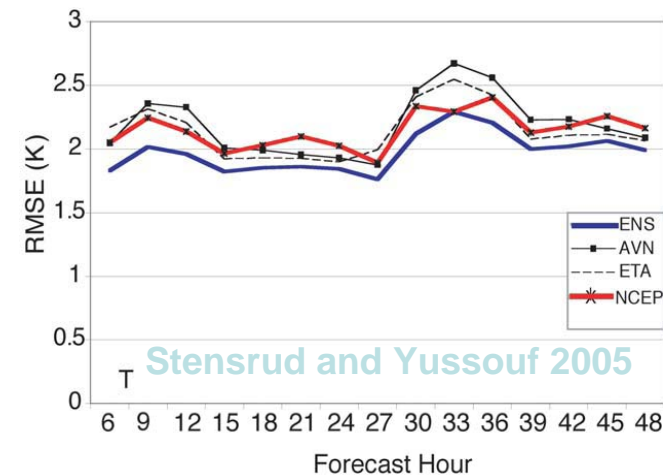
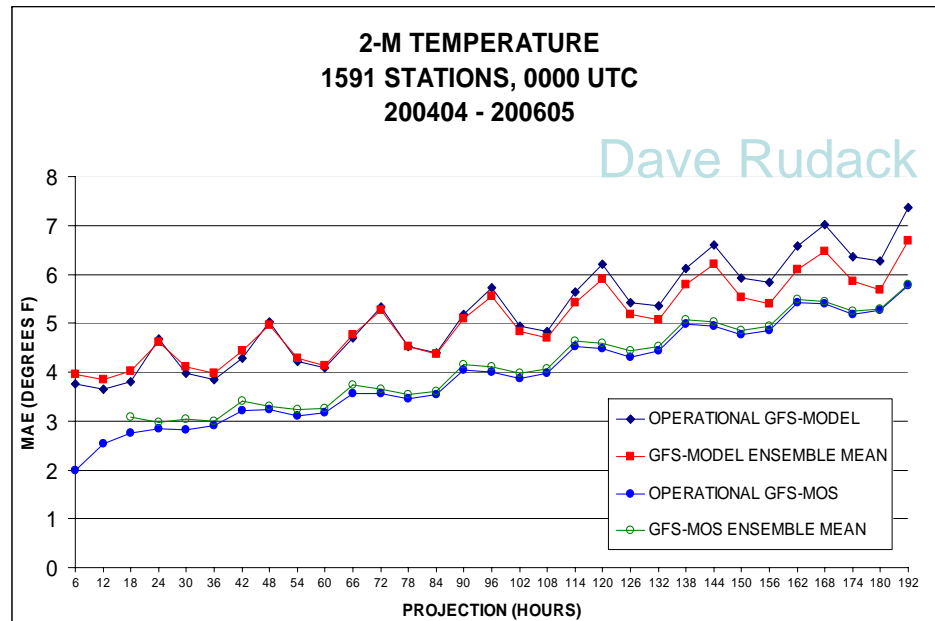


FIG. 5. Values of root-mean-square error (K) plotted as a function of forecast hour for (top) 2-m temperature from the full 31 member BCE (blue), the NCEP-only BCE (red), and the AVN (solid black line) and Eta (dashed line) MOS. Results are calculated at 1258 station locations for both the ensemble and AVN and Eta MOS data (after *Stensrud and Yussouf 2005*).

# REAL-TIME GENERATION OF HIND-CAST DATASET?

